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| **Selection Questionnaire**  **EDP38**  **Supply and Delivery of Frozen Food**  **Company/Organisation:**  Enter Name |
| Introductions, Notes for Completion& Evaluation Approach | |

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| **Instructions** |
| **Potential Supplier Information and Exclusion Grounds: Part 1 and Part 2.**  The standard Selection Questionnaire is a self-declaration, made by you (the potential supplier), that you do not meet any of the grounds for exclusion. If there are grounds for exclusion, there is an opportunity to explain the background and any measures you have taken to rectify the situation (we call this self-cleaning).  A completed declaration of Part 1 and Part 2 provides a formal statement that the organisation making the declaration has not breached any of the exclusions grounds. Consequently we require all the organisations that you will rely on to meet the selection criteria to provide a completed Part 1 and Part 2. For example these could be parent companies, affiliates, associates, or essential sub-contractors, if they are relied upon to meet the selection criteria. This means that where you are joining in a group of organisations, including joint ventures and partnerships, each organisation in that group must complete one of these self-declarations. Sub-contractors that you rely on to meet the selection criteria must also complete a self-declaration (although sub-contractors that are not relied upon do not need to complete the self-declaration).  When completed, this form and the selection information required shall be submitted as part of your tender return. |
| **Supplier Selection Questions: Part 3**  Please respond to all of the sections below (as detailed in the Evaluation approach table below the sections that are not relevant to this procurement have been removed).  If you are bidding on behalf of a group (consortium) or you intend to use sub-contractors, you should complete all of the selection questions on behalf of the consortium and/or any sub-contractors.  If the relevant documentary evidence referred to in the Selection Questionnaire is not provided upon request and without delay we reserve the right to amend the contract award decision and award to the next compliant bidder |
| Notes for Completion |
| **Notes for completion**   1. The “Council” means Derbyshire County Council, or anyone acting on behalf of the Council. 2. “You” / “Your” refer to the potential supplier completing this standard Selection Questionnaire i.e. the legal entity responsible for the information provided. The term “potential supplier” is intended to cover any economic operator as defined by the Public Contracts Regulations 2015 (referred to as the “regulations”) and could be a registered company; the lead contact for a group of economic operators; charitable organisation; Voluntary Community and Social Enterprise (VCSE); Special Purpose Vehicle; or other form of entity. 3. Please ensure that all questions are completed in full, and in the format requested. If the question does not apply to you, please state ‘N/A’. Should you need to provide additional information in response to the questions, please submit a clearly identified annex. 4. The Council recognises that arrangements set out in section 1.2 of the standard Selection Questionnaire, in relation to a group of economic operators (for example, a consortium) and/or use of sub-contractors, may be subject to change and will, therefore, not be finalised until a later date. The lead contact should notify the Council immediately of any change in the proposed arrangements and ensure a completed Part 1 and Part 2 is submitted for any new organisation relied on to meet the selection criteria. The Council will make a revised assessment of the submission based on the updated information. 5. For Part 1 and Part 2 every organisation that is being relied on to meet the selection must complete and submit the self-declaration. 6. For answers to Part 3 -If you are bidding on behalf of a group, for example, a consortium, or you intend to use sub-contractors, you should complete all of the questions on behalf of the consortium and/ or any sub-contractors, providing one composite response and declaration.   The Council confirms that it will keep confidential and will not disclose to any third parties any information obtained from a named customer contact, other than to the Cabinet Office and/or contracting authorities defined by the regulations, or pursuant to an order of the court or demand made by any competent authority or body where the Council is under a legal or regulatory obligation to make such a disclosure.  Potential Providers must be explicit and comprehensive in their responses to this questionnaire as this will be the single source of information on which responses will be assessed. Potential Providers are advised neither to make any assumptions about their past or current supplier relationships with the Council nor to assume that such prior business relationships will be taken into account in the evaluation procedure.   * Supporting documents should be provided in English and should be clearly marked with the name of your organisation and the number of the question to which they refer. * If you have any queries regarding how to complete this document please address them through the questions and answers stage of the tender. Failure to provide the required information, make a satisfactory response to any question, or supply documentation referred to in responses within the specified timescale may lead to your tender being judged to be non-compliant. |
| **Consequences of misrepresentation**  If you seriously misrepresent any factual information in filling in the Selection Questionnaire, and so induce the Council to enter into a contract, there may be significant consequences. You may be excluded from the procurement procedure, and from bidding for other contracts for three years. If a contract has been entered into you may be sued for damages and the contract may be rescinded. If fraud, or fraudulent intent, can be proved, you or your responsible officers may be prosecuted and convicted of the offence of fraud by false representation, and you must be excluded from further procurements for five years. |

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| **Evaluation Approach** |
| In assessing the answers to the following questions, the Council will be seeking evidence of the Potential Provider’s suitability to supply and deliver frozen food in terms of economic and financial standing, technical and professional ability. Qualification criteria will be a combination of factors and will be in accordance with the Public Contract Regulations 2015   * Responses to the questions will be evaluated in accordance with the Evaluation Approach detailed below. In the event that none of the responses are deemed satisfactory, the Council reserves the right to consider alternative procurement options.  |  |  | | --- | --- | | **Scoring Principles** | | | **Required Data** | | | **Required Data** | * The data provided is for information only and will not be scored / assessed but if the information requested is not provided the bid will be judged to be non-compliant unless there is an acceptable reason for its omission. | | **Pass / Fail** | | | **Pass** | * The information / evidence has been assessed and judged to be acceptable. | | **Fail** | * No information / evidence has been provided. * The standard of the information / evidence provided is unacceptable. * The information / evidence has been assessed and does not comply with the minimum acceptable standard. |  |  |  |  |  | | --- | --- | --- | --- | | **Scoring** | | | | | **Section** | | **Scoring** | **Requirements** | | **1** | **Supplier Information**  1.1 Potential supplier information  1.2 Bidding model  1.3 Contact detail and declaration | **Required Data** | The data provided is for information only and will not be scored or assessed; however if the information requested is not provided your bid will be judged to be non-compliant unless there is an acceptable reason for its omission. | | **2** | **Grounds for mandatory exclusion** | **Pass / Fail** | The Council will exclude from the procurement process any supplier where there is evidence of conviction relating to the criminal offence detailed in section (in accordance with the criteria set out in the Public Contract Regulation 2015). | | **3** | **Grounds For Discretionary Rejection** | **Pass / Fail** | The Council may exclude from the procurement process any supplier who answers ‘Yes’ in any of the situations set out in section 3 (in accordance with the criteria set out in the Public Contract Regulation 2015). | | **4** | **Economic and Financial Standing** | **Pass / Fail** | An assessment of the information / evidence provided will be used to ensure organisations have sufficient financial capacity to perform the contract  **Minimum financial threshold**  Your annual turnover, as calculated against the latest years accounts submitted, should be a minimum of three times the estimated annual contract value. | | **5** | **Group financial information** | **Pass / Fail** | Wider group accounts and commitments to provide guarantees may be taken in to account in the event that the applying organisation does not have sufficient financial standing following the financial assessment. | | **6** | **Technical and Professional Ability** | **Pass / Fail** | The decision to Pass / Fail this section is based on an assessment of the information and references provided.  Please note: the Council reserves the right to take up the references named and further inspection of current contracts may also be made to resolve any questions about technical efficiency, quality, service levels and reliability. | | **7** | **Modern Slavery Act 2015** | **Pass / Fail** | The Council must be satisfied that you are complaint with the requirements of the Modern Slavery Act 2015 (if applicable to your organisation) | | **8.1** | **Insurance** | **Pass / Fail** | You must confirm that required insurance levels would be in place and certificates provided prior to the contract award. | | **8.2** | **Skill and Apprentices** | **Pass / Fail** | You must confirm that you support apprenticeships and skills development though this contract. | | **8.3** | **Steel** | Not applicable to this contract |  | | **8.4** | **Supplier Past Performance** | Not applicable to this contract |  | | **8.5** | **Quality Management** | **Pass / Fail** | The Council must be satisfied that appropriate policies and arrangement are in place with regard to your Quality Management arrangements. | | **8.6** | **Disclosure and Barring Service (DBS) Checks** | Not applicable to this contract |  | | **8.7** | **Construction Industry Scheme (CIS)** | Not applicable to this contract |  | | **8.8** | **Safeguarding and security** | Not applicable to this contract |  | | **8.9** | **Business Continuity / Disaster Recovery** | **Pass / Fail** |  | | **8.10** | **Audit and Information Security** | Not applicable to this contract |  | | **8.11** | **General Data Protection Regulations** | **Pass / Fail** | The Council must be satisfied of compliance with all obligations under the General Data Protection Regulations. | | **8.12** | **Compliance with equality legislation** | **Pass / Fail** | You must confirm that you comply with all applicable legislation and declare any findings made against your organisation in the last three years.  If findings have been made or complaints have been upheld you must have demonstrated to the Council’s satisfaction that appropriate remedial action has been taken to prevent reoccurrence.  Where you use sub-contractors, you must confirm that processes are in place to check whether any of the above circumstances apply to these other organisations. | | **8.13** | **Environmental Management** | **Pass / Fail** | You must declare if you have been convicted of breaching environmental legislation, or had any notice served by the environmental regulator or authority (including a local authority) in the last three years.  If notices have been served you must have demonstrated to the Council’s satisfaction that appropriate remedial action has been taken to prevent future occurrences or breaches.  Where you use sub-contractors, you must confirm that processes are in place to check whether any of the above circumstances apply to these other organisations. | | **8.14** | **Health and Safety** | **Pass / Fail** | You must confirm that you comply with the applicable Health and Safety legislation and identify if the organisation, or it Directors of Executive officer, have been in receipt of enforcement/remedial orders in the last three years  If the organisation or any of its Directors or Executive Officers have been in receipt of enforcement/remedial action orders you must have demonstrated to the Council’s satisfaction that appropriate remedial action has been taken to prevent future occurrences or breaches.  Where you use sub-contractors, you must confirm that processes are in place to check whether any of the above circumstances apply to these other organisations. | | **8.15** | **Regulatory Bodies** | Not applicable to this contract |  | | **8.16** | **Credit Rating** | Not applicable to this contract |  |   **Additional Information**  The Council expressly reserves the right to require a Potential Provider to provide additional information supplementing or clarifying any of the information provided in response to the requests set out in this questionnaire. The Council may seek independent financial and market advice to validate information declared, or to assist in the evaluation.  Failure to provide the required information, make a satisfactory response to any question, or supply documentation referred to in responses, within the timescale given, may mean that your organisation will not be considered further.  Bids will be deemed to be non-compliant, and will not be considered further, where they do not achieve a “Pass” in one or more of the sections included in this selection questionnaire. |

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| **Part 1 – Potential supplier information** | | |
| Please answer the following questions in full. Note that every organisation that is being relied on to meet the selection must complete and submit the Part 1 and Part 2 self-declaration. | | |
| **Section 1** | **Potential Supplier Information** | |
| **Question no.** | **Question** | **Response** |
| **1.1 (a)** | Full name of the potential supplier submitting the information |  |
| **1.1 (b) – (i)** | Registered office address (if applicable) |  |
| **1.1 (b) – (ii)** | Registered website address (if applicable) |  |
| **1.1 (c)** | Trading Status:   1. public limited company 2. limited company 3. limited liability partnership 4. other partnership 5. sole trader 6. third sector 7. other (please specify your trading status) |  |
| **1.1 (d)** | Date of registration in country of origin |  |
| **1.1 (e)** | Company registration number (if applicable) |  |
| **1.1 (f)** | Charity registration number (if applicable) |  |
| **1.1 (g)** | Head office DUNS number (if applicable) |  |
| **1.1 (h)** | Registered VAT number |  |
| **1.1 (i) - (i)** | If applicable, is your organisation registered with the appropriate professional or trade register(s) in the member state where it is established? | Yes  No  N/A |
| **1.1 (i) - (ii)** | If you responded yes to 1.1(i) - (i), please provide the relevant details, including the registration number(s). |  |
| **1.1 (j) - (i)** | Is it a legal requirement in the state where you are established for you to possess a particular authorisation, or be a member of a particular organisation in order to provide the services specified in this procurement? | Yes  No  N/A |
| **1.1 (j) - (ii)** | If you responded yes to 1.1(j) - (i), please provide additional details of what is required and confirmation that you have complied with this. |  |
| **1.1 (k)** | Trading name(s) that will be used if successful in this procurement |  |
| **1.1 (l)** | Relevant classifications (state whether you fall within one of these, and if so which one)   1. Voluntary Community Social Enterprise (VCSE) 2. Sheltered Workshop 3. Public service mutual |  |
| **1.1 (m)** | Are you a Small, Medium or Micro Enterprise (SME)? | Yes  No |
| **1.1 (n)** | Details of Persons of Significant Control (PSC), where appropriate:   * Name; * Date of birth; * Nationality; * Country, state or part of the UK where the PSC usually lives; * Service address; * The date he or she became a PSC in relation to the company (for existing companies 6 April 2016 should be used); * Which conditions for being a PSC are met; * Over 25% up to (and including) 50%, * More than 50% and less than 75%, * 75% or more.   (Please enter N/A if not applicable) |  |
| **1.1 (o)** | Details of immediate parent company:   * Full name of the immediate parent company * Registered office address (if applicable) * Registration number (if applicable) * Head office DUNS number (if applicable) * Head office VAT number (if applicable)   (Please enter N/A if not applicable) |  |
| **1.1 (p)** | Details of ultimate parent company:   * Full name of the ultimate parent company * Registered office address (if applicable) * Registration number (if applicable) * Head office DUNS number (if applicable) * Head office VAT number (if applicable)   (Please enter N/A if not applicable) |  |
| Please note: A criminal record check for relevant convictions may be undertaken for the preferred suppliers and the persons of significant in control of them. | | |

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| Please provide the following information about your approach to this procurement: | | |
| **Section 1** | **Bidding model** | |
| **Question no.** | **Question** | **Response** |
| **1.2 (a) - (i)** | Are you bidding as the lead contact for a group of economic operators? | Yes  No  If yes, please provide details listed in questions 1.2(a) (ii), (a) (iii) and to 1.2(b) (i), (b) (ii), 1.3, Section 2 and 3.  If no, and you are a supporting bidder please provide the name of your group at 1.2(a) (ii) for reference purposes, and complete 1.3, Section 2 and 3. |
| **1.2 (a) - (ii)** | Name of group of economic operators (if applicable) |  |
| **1.2 (a) - (iii)** | Proposed legal structure if the group of economic operators intends to form a named single legal entity prior to signing a contract, if awarded. If you do not propose to form a single legal entity, please explain the legal structure. |  |
| **1.2 (b) - (i)** | Are you or, if applicable, the group of economic operators proposing to use sub-contractors? | Yes  No |
| **1.2 (b) - (ii)** | If you responded yes to 1.2(b)-(i) please provide additional details for each sub-contractor in the following table: we may ask them to complete this form as well.   |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | Name |  |  |  |  |  | | Registered address |  |  |  |  |  | | Trading status |  |  |  |  |  | | Company registration number |  |  |  |  |  | | Head Office DUNS number (if applicable) |  |  |  |  |  | | Registered VAT number |  |  |  |  |  | | Type of organisation |  |  |  |  |  | | SME (Yes/No) |  |  |  |  |  | | The role each sub-contractor will take in providing the works and /or supplies e.g. key deliverables |  |  |  |  |  | | The approximate % of contractual obligations assigned to each sub-contractor |  |  |  |  |  | | |

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| **Contact details and declaration**  I declare that to the best of my knowledge the answers submitted and information contained in this document are correct and accurate.  I declare that, upon request and without delay I will provide the certificates or documentary evidence referred to in this document.  I understand that the information will be used in the selection process to assess my organisation’s suitability to be invited to participate further in this procurement.  I understand that the Council may reject this submission in its entirety if there is a failure to answer all the relevant questions fully, or if false/misleading information or content is provided in any section.  I am aware of the consequences of serious misrepresentation. | | |
| **Section 1** | **Contact details and declaration** | |
| **Question no.** | **Question** | **Response** |
| **1.3 (a)** | Contact name |  |
| **1.3 (b)** | Name of organisation |  |
| **1.3 (c)** | Role in organisation |  |
| **1.3 (d)** | Phone number |  |
| **1.3 (e)** | E-mail address |  |
| **1.3 (f)** | Postal address |  |
| **1.3 (g)** | Signature (electronic is acceptable) |  |
| **1.3 (h)** | Date |  |

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| **Part 2: Exclusion Grounds** | | |
| Please answer the following questions in full. Note: every organisation that is being relied on to meet the selection must complete and submit the Part 1 and Part 2 self-declaration. | | |
| **Section 2** | **Grounds for mandatory exclusion** | |
| **Question no.** | **Question** | **Response** |
| **2.1(a)** | **Regulations 57(1) and (2)**  The detailed grounds for mandatory exclusion of an organisation are set out on this [webpage](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/551130/List_of_Mandatory_and_Discretionary_Exclusions.pdf), which should be referred to before completing these questions.  Please indicate if, within the past five years you, your organisation or any other person who has powers of representation, decision or control in the organisation have been convicted anywhere in the world of any of the offences within the summary below and listed on the [webpage](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/551130/List_of_Mandatory_and_Discretionary_Exclusions.pdf). | |
|  | Participation in a criminal organisation. | Yes  No  If Yes please provide details at 2.1(b) |
| Corruption. | Yes  No  If Yes please provide details at 2.1(b) |
| Fraud. | Yes  No  If Yes please provide details at 2.1(b |
| Terrorist offences or offences linked to terrorist activities | Yes  No  If Yes please provide details at 2.1(b |
| Money laundering or terrorist financing | Yes  No  If Yes please provide details at 2.1(b |
| Child labour and other forms of trafficking in human beings | Yes  No  If Yes please provide details at 2.1(b |
| **2.1(b)** | If you have answered yes to question 2.1(a), please provide further details.  Date of conviction, specify which of the grounds listed the conviction was for, and the reasons for conviction,  Identity of who has been convicted  If the relevant documentation is available electronically please provide the web address, issuing authority, precise reference of the documents. |  |
| **2.2** | If you have answered Yes to any of the points above have measures been taken to demonstrate the reliability of the organisation despite the existence of a relevant ground for exclusion? (Self Cleaning) | Yes  No |
| **2.3(a)** | **Regulation 57(3)**  Has it been established, for your organisation by a judicial or administrative decision having final and binding effect in accordance with the legal provisions of any part of the United Kingdom or the legal provisions of the country in which the organisation is established (if outside the UK), that the organisation is in breach of obligations related to the payment of tax or social security contributions? | Yes  No |
| **2.3(b)** | If you have answered yes to question 2.3(a), please provide further details. Please also confirm you have paid, or have entered into a binding arrangement with a view to paying, the outstanding sum including where applicable any accrued interest and/or fines. |  |
| Please Note: The Council reserves the right to use its discretion to exclude a potential supplier where it can demonstrate by any appropriate means that the potential supplier is in breach of its obligations relating to the non-payment of taxes or social security contributions | | |

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| **Section 3** | **Grounds for discretionary exclusion** | |
| **Question no.** | **Question** | **Response** |
| **3.1** | **Regulation 57 (8)**  The detailed grounds for discretionary exclusion of an organisation are set out on this [webpage](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/551130/List_of_Mandatory_and_Discretionary_Exclusions.pdf), which should be referred to before completing these questions.  Please indicate if, within the past three years, anywhere in the world any of the following situations have applied to you, your organisation or any other person who has powers of representation, decision or control in the organisation. | |
| **3.1(a)** | Breach of environmental obligations? | Yes  No  If Yes please provide details at 3.2 |
| **3.1 (b)** | Breach of social obligations? | Yes  No  If Yes please provide details at 3.2 |
| **3.1 (c)** | Breach of labour law obligations? | Yes  No  If Yes please provide details at 3.2 |
| **3.1(d)** | Bankrupt or is the subject of insolvency or winding-up proceedings, where the organisation’s assets are being administered by a liquidator or by the court, where it is in an arrangement with creditors, where its business activities are suspended or it is in any analogous situation arising from a similar procedure under the laws and regulations of any State? | Yes  No  If Yes please provide details at 3.2 |
| **3.1(e)** | Guilty of grave professional misconduct? | Yes  No  If Yes please provide details at 3.2 |
| **3.1(f)** | Entered into agreements with other economic operators aimed at distorting competition? | Yes  No  If Yes please provide details at 3.2 |
| **3.1(g)** | Aware of any conflict of interest within the meaning of regulation 24 due to the participation in the procurement procedure? | Yes  No  If Yes please provide details at 3.2 |
| **3.1(h)** | Been involved in the preparation of the procurement procedure? | Yes  No  If Yes please provide details at 3.2 |
| **3.1(i)** | Shown significant or persistent deficiencies in the performance of a substantive requirement under a prior public contract, a prior contract with a contracting entity, or a prior concession contract, which led to early termination of that prior contract, damages or other comparable sanctions? | Yes  No  If Yes please provide details at 3.2 |
| **3.1(j)**  **3.1(j) - (i)** | Please answer the following statements  The organisation is guilty of serious misrepresentation in supplying the information required for the verification of the absence of grounds for exclusion or the fulfilment of the selection criteria. | Yes  No  If Yes please provide details at 3.2 |
| **3.1(j) - (ii)** | The organisation has withheld such information. | Yes  No  If Yes please provide details at 3.2 |
| **3.1(j) –(iii)** | The organisation is not able to submit supporting documents required under regulation 59 of the Public Contracts Regulations 2015. | Yes  No  If Yes please provide details at 3.2 |
| **3.1(j)-(iv)** | The organisation has influenced the decision-making process of the Council to obtain confidential information that may confer upon the organisation undue advantages in the procurement procedure, or to have negligently provided misleading information that may have a material influence on decisions concerning exclusion, selection or award. | Yes  No  If Yes please provide details at 3.2 |
| **3.2** | If you have answered Yes to any of the above, explain what measures have been taken to demonstrate the reliability of the organisation despite the existence of a relevant ground for exclusion? (Self Cleaning) |  |

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| **Part 3: Selection Questions** |

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| **Section 4** | **Economic and Financial Standing** | |
| **Question no.** | **Question** | **Response** |
| **4.1** | **Please confirm which of the following, as applicable to your organisation, you would be able to provide if requested?**   1. **Organisations Trading for 3 Years Or More**   Full copies of the two or three most recent sets of audited accounts that cover the last three years of trading, including:   * A balance sheet * A detailed profit and loss or income & expenditure account * All other reports and notes to the accounts.  1. **Organisations Trading for Between 2 and 3 Years**   A full copies of the two most recent sets of audited accounts, including:   * A balance sheet * A detailed profit and loss or income & expenditure account * All other reports and notes to the accounts.  1. **Organisations Trading for Between 1 and 2 Years**   A full copy of the audited accounts for the first year of trading including:   * A balance sheet * Detailed profit and loss or income & expenditure account * All other reports and notes to the accounts. * A current balance sheet (no less than 3 months old) * A detailed profit & loss projection or income & expenditure projection for the current year, to include actuals to date, together with any relevant documentation to support the projection.  1. **Organisations Trading for Less Than 1 Year**  * A current balance sheet (no more than 3 months old) * A detailed profit & loss projection or income & expenditure projection for the current year, to include actuals to date, together with any relevant documentation to support the projection.  1. **Organisations who cannot supply option (a) – (d)**  * Provide details of alternative means of demonstrating financial status if any of the above are not available (e.g. forecast of turnover for the current year and a statement of funding provided by the owners and/or the bank etc.). Please also clarify why options (a) – (d) are not applicable.   Please note: On request bidders will be required to provide, the documents/information detailed above (as applicable to their organisation) and a completed copy of Appendix D Financial Standing Questionnaire. | Provide details |
| **4.2** | Where we have specified a minimum level of economic and financial standing and/ or a minimum financial threshold within the evaluation criteria for this procurement, please self-certify by answering ‘Yes’ or ‘No’ that you meet the requirements set out. | Yes  No |
| * Please see Appendix D Financial Standing Questionnaire for detailed guidance on the financial documentation that bidders will be required to provide upon request. * [See Action Note 8/16 Updated Standard Selection Questionnaire](https://www.gov.uk/government/collections/procurement-policy-notes) | | |

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| **Section 5** | **Economic and Financial Standing (Parent Companies and Guarantees)** | | |
| If you have indicated in the Selection Questionnaire question 1.2 that you are part of a wider group, please provide further details below: | | | |
| Name of organisation | |  | |
| Relationship to the Supplier completing these questions | |  | |
| **Question no.** | **Question** | | **Response** |
| **5.1** | Are you able to provide parent company accounts if requested to at a later stage? | | Yes  No |
| **5.2** | If yes, would the parent company be willing to provide a guarantee if necessary? | | Yes  No |
| **5.3** | If no, would you be able to obtain a guarantee elsewhere (e.g. from a bank)? | | Yes  No |

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| **Section 6** | **Technical and Professional Ability** | |
| **6.1** | Relevant experience and contract examples  Please provide details of up to three contracts, in any combination from either the public or private sector; voluntary, charity or social enterprise (VCSE) that are relevant to our requirement. VCSEs may include samples of grant-funded work. Contracts for supplies or services should have been performed during the past three years. Works contracts may be from the past five years.  The named contact provided should be able to provide written evidence to confirm the accuracy of the information provided below.  Consortia bids should provide relevant examples of where the consortium has delivered similar requirements. If this is not possible (e.g. the consortium is newly formed or a Special Purpose Vehicle is to be created for this contract) then three separate examples should be provided between the principal member(s) of the proposed consortium or Special Purpose Vehicle (three examples are not required from each member).  Where the Supplier is a Special Purpose Vehicle, or a managing agent not intending to be the main provider of the supplies or services, the information requested should be provided in respect of the main intended provider(s) or sub-contractor(s) who will deliver the contract.  If you cannot provide examples see question 6.3 | |
| **Contract 1** | | |
| Name of customer organisation | |  |
| Point of contact in the organisation | |  |
| Position in the organisation | |  |
| E-mail address | |  |
| Description of contract | |  |
| Contract start date | |  |
| Contract completion date | |  |
| Estimated contract value | |  |
| **Contract 2** | | |
| Name of customer organisation | |  |
| Point of contact in the organisation | |  |
| Position in the organisation | |  |
| E-mail address | |  |
| Description of contract | |  |
| Contract start date | |  |
| Contract completion date | |  |
| Estimated contract value | |  |
| **Contract 3** | | |
| Name of customer organisation | |  |
| Point of contact in the organisation | |  |
| Position in the organisation | |  |
| E-mail address | |  |
| Description of contract | |  |
| Contract start date | |  |
| Contract completion date | |  |
| Estimated contract value | |  |
| **6.2** | Where you intend to sub-contract a proportion of the contract, please demonstrate how you have previously maintained healthy supply chains with your sub-contractor(s)  Evidence should include, but is not limited to, details of your supply chain management tracking systems to ensure performance of the contract and including prompt payment or membership of the UK Prompt Payment Code (or equivalent schemes in other countries) | |
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| **6.3** | If you cannot provide at least one example for question 6.1, in no more than 500 words please provide an explanation for this e.g. your organisation is a new start-up or you have provided services in the past but not under a contract. | |
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| **Section 7** | **Modern Slavery Act 2015: Requirements under Modern Slavery Act 2015** | |
| **Question no.** | **Question** | **Response** |
| **7.1** | Are you a relevant commercial organisation as defined by section 54 ("Transparency in supply chains etc.") of the Modern Slavery Act 2015 ("the Act")? | Yes  N/A |
| **7.2** | If you have answered yes to question 7.1 are you compliant with the annual reporting requirements contained within Section 54 of the Act 2015? | Yes  Please provide relevant the url    No  Please provide an explanation |

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| **Section 8: Additional Questions**  Suppliers who self-certify that they meet the requirements to the additional questions will be required to provide evidence of this if they are successful at contract award stage. | | |
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| **8.1** | **Insurance** | |
| **Question no.** | **Question** | **Response** |
| **a.** | Please self-certify whether you already have, or can commit to obtain, prior to the commencement of the contract, the levels of insurance cover indicated below:  Employer’s (Compulsory) Liability Insurance = £5 million  Public Liability Insurance = £10 million  Product Liability Insurance = £5 million  Motor Insurance = third party damage  Please note the insurance cover values shall not be less than the amounts detailed above for each and every claim.  \* It is a legal requirement that all companies hold Employer’s (Compulsory) Liability Insurance of £5 million as a minimum. Please note this requirement is not applicable to Sole Traders. | Yes  No |

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| **8.2** | **Skills and Apprentices** | |
| **Question no.** | **Question** | **Response** |
| **a.** | Public procurement of contracts with a full life value of £10 million and above and duration of 12 months and above should be used to support skills development and delivery of the apprenticeship commitment. This policy is set out in detail in Procurement Policy Note 14/15.  Please confirm if you will be supporting apprenticeships and skills development through this contract. | Yes  No |
| **b.** | If yes, can you provide at a later stage documentary evidence to support your commitment to developing and investing in skills, development and apprenticeships to build a more skilled and productive workforce and reducing the risks of supply constraints and increasing labour cost inflation? | Yes  No |
| **c.** | Do you have a process in place to ensure that your supply chain supports skills, development and apprenticeships in line with PPN 14/15 (see guidance) and can provide evidence if requested? | Yes  No |

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| **8.3** | **Steel** |
|  | Not applicable to this contract |

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| **8.4** | **Suppliers’ Past Performance** | |
|  | Not applicable to this contract |  |

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| **8.5** | **Quality Management** | | | |
| **Question no.** | **Question** | | **Response** | |
| **a.** | Does your organisation have a recognised quality management certification e.g. BS/EN/ISO 9001 or equivalent?  If **yes**, please provide details: | | Yes  No | |
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| **b.** | Does your organisation have a written Quality policy?  If **yes**, please:  a) Confirm that a copy of your organisation’s Quality policy will be provided on request.  b) Provide details of how does your organisation communicate its quality policy to:   * Those concerned with recruitment, training and promotion * Employees, recognised trade unions or other representative groups of employees * Prospective employees   (Maximum 250 words)  If **no**, please provide details of the quality management processes and procedures your organisation uses to ensure that it is managed properly and that legal requirements are met (or explain why such processes and procedures are not in place):  (Maximum 250 words) | Yes  No  Confirmed | | |
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| **c.** | Please provide details of how your organisation manages, monitors and ensures compliance with:   * Health and Safety Requirements. * Control of workmanship, materials and maintenance of equipment. * Individual site requirements including security, access, waste removal, standards of hygiene, courtesy and consideration.   (Maximum 250 words) | | | |
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| **d.** | Do you have an Officer or Third Party responsible for your organisation’s quality?  If **yes**, please provide details including name, qualifications & experience relevant to Quality:  (Maximum 250 words) | | | Yes  No |
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| **8.6** | **Disclosure and Barring Service (DBS) Checks** | |
|  | Not applicable to this contract |  |

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| **8.7** | **Construction Industry Scheme (CIS)** | |
|  | Not applicable to this contract |  |

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| **8.8** | **Safeguarding and Security** | |
|  | Not applicable to this contract |  |

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| **8.9** | **Business Continuity / Disaster Recovery** | |
| **Question no.** | **Question** | **Response** |
| **a.** | Does your organisation have a written Business Continuity / Disaster Recovery policy? | Yes  No |
| If **yes**, please:   1. Confirm that a copy of your organisation’s Business Continuity / Disaster Recovery policy and evidence of its implementation and any non-compliance procedures will be provided on request.   If **no**, please provide details of the Business Continuity / Disaster Recovery provisions, processes and procedures your organisation would use to identify and mitigate adverse conditions, both internal and external, to ensure the continuation of operations (or explain why such processes and procedures are not in place):  (Maximum 500 words) | Yes  No |

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| **8.10** | **Audit and Information Security** | |
| Individuals, organisations and the voluntary sector are integral in assisting the Council to deliver a variety of essential services across Derbyshire. To provide a number of these services access to personal data in respect of the individuals to whom services will be provided may be required.  As a responsible organisation, the Council is required by law, to take all reasonable steps to ensure that personal data covered by the Data Protection Act 1998 is protected against unauthorised access or loss. With this in mind, the Council has produced a checklist of the basic information security standards that are required where the storing, handling, processing and/ or retention of personal data are incidental to the service being provided.  **The following questions should be answered with regard to any personal data or sensitive personal data held in respect of this contract.** | | |
| **Question no.** | **Question** | **Response** |
| **a.** | Paper records and IT equipment containing confidential or personal data must not be left unattended and shall be kept securely and locked away at the end of each working day. | Yes  No |
| **b.** | The confidential or personal data must be shredded when no longer required. | Yes  No |
| **c.** | When transporting confidential or personal data by vehicle all records must be held securely when left unattended. | Yes  No |
| **d.** | Confidential or personal data sent electronically including spreadsheets, letters and schedules shall be protected with a minimum of an 8 character password. | Yes  No |
| **e.** | Confidential or personal data shall not be sent via SMS, text or instant messaging services. | Yes  No |
| **f.** | In the event that the confidential or personal data is lost or stolen, the Council must be notified as soon as possible. | Yes  No |
| **g.** | Anti-virus software shall be installed on IT equipment holding confidential or personal data with the automatic update activated. | Yes  No |
| **h.** | Mobile devices including phones and iPads confidential or personal data must be secured by the use of a ‘PIN’. | Yes  No |
| **i.** | Where possible, PCs and laptops holding confidential or personal data shall be encrypted. | Yes  No |
| **j.** | Obsolete PCs, laptops, USB devices, iPads, smartphones etc. used to hold confidential or personal data shall be disposed of securely to ensure that the data on the hard drives is destroyed. | Yes  No |
| **k.** | Please confirm you have the Government-backed Cyber Essentials or equivalent accreditation.  If no please confirm you are willing put in place the Government-backed Cyber Essentials or equivalent before the contract commences.  Further information is available on the Government-backed scheme:  https://www.gov.uk/government/publications/cyber-essentials-scheme-overview | Yes  No  Yes |

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| **8.11** | **General Data Protection Regulations** | |
| **Question no.** | **Question** | **Response** |
| **a.** | The General Data Protection Regulations which strengthen and unify data protection for individuals came into force on 25 May 2018.  Please confirm that you are compliant with the obligations that apply to your organisation. | Yes  No |

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| **8.12** | **Compliance with equality legislation** | |
| **Question no.** | **Question** | **Response** |
| **a.** | Does your organisation comply with the requirements of the Equality Act 2010 (or equivalent legislation in the country in which you are located)? | Yes  No |
| **b.** | In the last three years   * Have any finding of unlawful discrimination, victimisation, harassment or failure to make reasonable adjustments, been made against your organisation by any court or employment tribunal (or in comparable proceedings in any other jurisdiction)? * Has your organisation been the subject of a formal investigation by the Equality and Human Rights Commission?   If **yes**, please provide details including what steps your organisation took in consequence of this | Yes  No |
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| **c.** | If you use sub-contractors, do you have processes in place to check whether any of the above circumstances apply to these other organisations? | Yes  No |

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| **8.13** | **Environmental Management** | |
| **Question no.** | **Question** | **Response** |
| **a.** | Does your organisation comply with the environmental legislation and/or regulations that apply to your organisation and its activities? | Yes  No |
| **b.** | Has your organisation been convicted of breaching environmental legislation, or had any notice served upon it, in the last three years by any environmental regulator or authority (including local authority)?  If **yes**, please provide details including any remedial action or changes you have made as a result of conviction or notices served. | Yes  No |
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| **c.** | If you use sub-contractors, do you have processes in place to check whether any of the above circumstances apply to these other organisations? | Yes  No |

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| **8.14** | **Health and Safety** | |
| **Question no.** | **Question** | **Response** |
| **a.** | Does your organisation comply with the requirements of the Health and Safety legislation that apply to your organisation and its activities? | Yes  No |
| **b.** | Has your organisation or any of its Directors or Executive Officers been in receipt of enforcement/remedial orders in relation to the Health and Safety Executive (or equivalent body) in the last 3 years?  If **yes**, please provide details in a separate Appendix of any enforcement/remedial orders served and give details of any remedial action or changes to procedures you have made as a result. | Yes  No |
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| **c.** | If you use sub-contractors, do you have processes in place to check whether any of the above circumstances apply to these other organisations? | Yes  No |

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| **8.15** | **Regulatory Bodies – Financial Services** | |
|  | Not applicable to this contract |  |

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| **8.16** | **Credit Rating** | |
|  | Not applicable to this contract |  |