**Rutland county council**

**Tender for**

**HOME IMPROVEMENT AGENCY SERVICE (HIA)**

**Tender – DOCUMENT Four**

**Response Document: To be Completed and Returned**

When completed, please upload your Response document (Document Four) to the e-tender portal: [**https://www.eastmidstenders.org**](https://www.eastmidstenders.org/)

Please ensure that Appendices 1, 2 and 3 are completed.

|  |
| --- |
| **To be submitted no later than noon Friday 22nd June 2018** **Late submissions will be disregarded.** |

**Please ensure the file size of your uploaded document is no greater than 10mb**

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**STANDARD SELECTION QUESTIONNAIRE (SSQ)**

**Potential Supplier Information and Exclusion Grounds: Part 1 and Part 2**

The Standard Selection Questionnaire is a self declaration, made by you (the potential supplier) that you do not meet any of the grounds for exclusion. If there are grounds for exclusion, there is an opportunity to explain the background and any measures you have taken to rectify the situation (we call this self cleaning).

A completed declaration of Part 1 and Part 2 provides a formal statement that the organisation making the declaration has not breached any of the exclusion grounds. Consequently we require all the organisations that you will rely on to meet the selection criteria to provide a completed Part 1 and Part 2. For example, these could be parent companies, affiliates, associates or essential sub-contractors, if they are relied upon to meet the selection criteria. This means that where you are joining in a group of organisations including joint ventures and partnerships, each organisation in that group must complete one of these self-declarations. Sub-contractors that you rely on to meet the selection criteria must also complete a self declaration (although sub-contractors that are not relied upon do not need to complete the self-declaration).

When completed this form is to be sent submitted via the e-tender portal given in the procurement documents along with the selection information requested in the procurement documentation.

**Supplier Selection Questions: Part 3**

The procurement document will provide instructions on selection questions you need to respond to and how to submit those responses. If you are bidding on behalf of a group (consortium) or you intend to use sub-contractors, you should complete all of the selection questions on behalf of the consortium and/or any sub-contractors.

If the relevant documentary evidence referred to in the Standard Selection Questionnaire is not provided upon request and without delay we reserve the right to amend the contract award decision and award to the next compliant bidder.

Consequences of misrepresentation

If you seriously misrepresent any factual information in filling in the Standard Selection Questionnaire and so induce the Authority to enter into a contract, there may be significant consequences. You may be excluded from the procurement procedure and from bidding for other contracts for three years. If a contract has been entered into you may be sued for damages and the contract may be rescinded. If fraud, or fraudulent intent, can be proved you or your responsible officers may be prosecuted and convicted of the offence of fraud by false representation, and you must be excluded from further procurements for five years.

**Notes for completion**

1. The ‘Authority’ means the contracting Authority or anyone acting on behalf of the contracting Authority that is seeking to invite suitable candidates to participate in this procurement process.
2. ‘You / ‘Your’ refer to the potential supplier completing this Standard Selection Questionnaire, i.e. the legal entity responsible for the information provided. The term ‘potential supplier’ is intended to cover any economic operator as defined by the Public Contract Regulations 2015 (referred to as the ‘Regulations’) and could be a registered company; the lead contact for a group of economic operators; charitable organisation; Voluntary Community and Social Enterprise (VCSE); Special Purpose Vehicle or other form of entity.
3. Please ensure that all questions are completed in full and in the format requested. If the question does not apply to you, please state ‘N/A’. Should you need to provide additional information in response to the questions, please submit a clearly identified annex.
4. The Authority recognises that all arrangements set out in section 1.2 of the Standard Selection Questionnaire, in relation to a group of economic operators (for example a consortium) and/or use of sub-contractors, may be subject to change and will, therefore, not be finalised until a later date. The lead contact should notify the Authority immediately of any change in the proposed arrangements and ensure a completed Part 1 and Part 2 is submitted for any new organisation relied on to meet the selection criteria. The Authority will make a revised assessment of the submission based on the updated information.
5. For Part 1 and Part 2 every organisation that is being relied on to meet the selection must complete and submit the self-declaration.
6. For Part 3 – if you are bidding on behalf of a group, for example a consortium, or you intend to use sub-contractors, you should complete all the questions on behalf of the consortium and/or any sub-contractors, providing one composite response and declaration.

The Authority confirms that it will keep confidential and will not disclose any third parties any information obtained from a named customer contact other than to the Cabinet Office and/or contracting authorities defined by the Regulations or pursuant to an order of the court of demand made by any competent authority or body where the authority is under a legal or regulatory obligation to make such a disclosure.

**PART 1: POTENTIAL SUPPLIER INFORMATION**

Please answer the following questions in full. Note that every organisation that is being relied on to meet the selection must complete and submit the Part 1 and Part 2 self-declaration.

1.1 Potential Supplier Information

|  |  |  |
| --- | --- | --- |
| **Question No.** | **Question** | **Response** |
| 1.1 (a) | Full name of the potential supplier submitting the information |  |
| 1.1 (b) (i) | Registered office address (if applicable) |  |
| 1.1 (b) (ii) | Registered website address (if applicable) |  |
| 1.1 (c) | Trading Status:i) public limited companyii) limited companyiii) limited liability partnershipiv) other partnershipv) sole tradervi) third sectorvii) other (please specify) | [ ] [ ] [ ] [ ] [ ] [ ] [ ]  |
| 1.1 (d) | Date of registration in country of origin |  |
| 1.1 (e) | Company registration number (if applicable) |  |
| 1.1 (f)  | Charity registration number (if applicable) |  |
| 1.1 (g) | Head office DUNS number (if applicable) |  |
| 1.1 (h) | Registered VAT number |  |
| 1.1 (i) (i) | If applicable, is your organisation registered with the appropriate professional or trade register(s) in the member state where it is established? | Yes [ ] No [ ] N/A [ ]  |
| 1.1 (i) (ii) | If you responded ‘yes’ to 1.1 (i) (i), please provide the relevant details including the registration number(s) |  |
| 1.1 (j) (i) | Is it a legal requirement in the member state where you are established for you to possess a particular authorisation, or be a member of a particular organisation in order to provide the goods, services or works specified in this procurement. | Yes [ ] No [ ]  |
| 1.1 (j) (ii) | If you responded ‘yes’ to 1.1 (j) (i) please provide additional details of what is required and confirmation that you have complied with it |  |
| 1.1 (k) | Relevant classifications (state whether you fall within one of these, and if so which one):i) Voluntary Community Social Enterprise (VCSE)ii) Sheltered Workshopiii) Public service mutual | [ ] [ ] [ ]  |
| 1.1 (m) | Are you a Small, Medium or Micro Enterprise (SME)? | Yes [ ] No [ ]  |
| 1.1 (n) | Details of Persons of Significant Control (PSC) where appropriate:* Name
* Date of birth
* Nationality
* Country, state or part of the UK where PSC usually lives
* Service address
* The date he/she became PSC in relation to the company (for existing companies the 6th April 2016 should be used)
* Which conditions for being PSC are met: over 25% and up to (and including) 50%; more than 50% and less than 75%; 75% or more.

Please enter N/A if not applicable |  |
| 1.1 (o) | Details of immediate parent company:* Full name of immediate parent company
* Registered office address (if applicable)
* Registration number (if applicable)
* Head Office DUNS number (if applicable)
* Head Office VAT number (if applicable)

Please enter N/A if not applicable |  |
| 1.1 (p) | Details of ultimate parent company:* Full name of ultimate parent company
* Registered office address (if applicable)
* Registration number (if applicable)
* Head Office DUNS number (if applicable)
* Head Office VAT number (if applicable)

Please enter N/A if not applicable |  |

Please note: A criminal record check for relevant convictions may be undertaken for the preferred suppliers and the persons of significant control.

1.2 Bidding Model

|  |  |  |
| --- | --- | --- |
| **Question No.** | **Question** | **Response** |
| 1.2 (a) (i) | Are you bidding as the lead contact for a group of economic operators?If yes, please provide details listed in questions 1.2 (a) (ii), (iii), and to 1.2 (b) (i), (ii), and to 1.3 Section 2 and 3. If no, and you are a supporting bidder please provide the name of your group at 1.2 (a) (ii) for reference purposes and complete 1.3, Section 2 and 3. | Yes [ ] No [ ]  |
| 1.2 (a) (ii) | Name of group of economic operators (if applicable) |  |
| 1.2 (a) (iii) | Proposed legal structure if the group of economic operators intends to form a named single entity prior to signing a contract, if awarded. If you do not propose to form a single legal entity, please explain the legal structure. |  |
| 1.2 (b) (i) | Are you or, if applicable, the group of economic operators proposing to use sub-contractors? | Yes [ ] No [ ]  |
| 1.2 (b) (ii) | If you responded yes to 1.2 (b) (i) please provide additional details for each sub-contractor, we may ask them to complete this form as well.* Name
* Registered Address
* Trading Status
* Company registration number
* Head Office DUNS number (if applicable)
* Registered VAT number
* Type of organisation
* SME (yes/no)
* The role each sub-contractor will take in providing the works, services and/or supplies, e.g. key deliverables
* The approximate % of contractual obligations assigned to each sub-contractor
 |  |

1.3 Contact details and declaration

I declare that to the best of my knowledge the answers submitted and information contained in this document are correct and accurate.

I declare that, upon request and without delay, I will provide the certificates or documentary evidence referred to in this document.

I understand that the information will be used in the selection process to assess my organisation’s suitability to be invited to participate further in this procurement.

I understand that the Authority may reject this submission in its entirety if there is a failure to answer all the relevant questions fully, or if false/misleading information is provided in any section.

I am aware of the consequences of serious misrepresentation.

|  |  |  |
| --- | --- | --- |
| **Question No.** | **Question** | **Response** |
| 1.3 (a) | Contact Name |  |
| 1.3 (b) | Name of Organisation |  |
| 1.3 (c) | Role in Organisation |  |
| 1.3 (d) | Phone number |  |
| 1.3 (e)  | E Mail address |  |
| 1.3 (f) | Postal address |  |
| 1.3 (g) | Signature (electronic is acceptable) |  |
| 1.3 (h) | Date |  |

**PART 2: EXCLUSION GROUNDS**

Please answer the following questions in full. Note that every organisation that is being relied on meet the selection must complete and submit the Part 1 and Part 2 self declaration.

2.1 Grounds for Mandatory Exclusion

|  |  |  |
| --- | --- | --- |
| **Question No.** | **Question** | **Response** |
| 2.1 (a) | Regulations 57(1) and (2):The detailed grounds for mandatory exclusion are set out on this [web page](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/551130/List_of_Mandatory_and_Discretionary_Exclusions.pdf), which should be referred to before completing these questions.Please indicate if, within the past five years you, your organisation or any other person who has powers of representation, decision or control in the organisation has been convicted anywhere in the world of any of the offences within the summary below and listed on the [web page](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/551130/List_of_Mandatory_and_Discretionary_Exclusions.pdf). Participation in a criminal organisationCorruptionFraudTerrorist offences or offences linked to terrorist activitiesMoney laundering or terrorist financingChild labour and other forms of trafficking in human beings | Yes [ ] No [ ] If yes, please provide details in 2.1 (b)Yes [ ] No [ ] If yes, please provide details in 2.1 (b)Yes [ ] No [ ] If yes, please provide details in 2.1 (b)Yes [ ] No [ ] If yes, please provide details in 2.1 (b)Yes [ ] No [ ] If yes, please provide details in 2.1 (b)Yes [ ] No [ ] If yes, please provide details in 2.1 (b) |
| 2.1 (b) | If you have answered yes to question 2.1 (a), please provide further details:* Date of conviction, specify which grounds listed the conviction was for and the reasons for conviction
* Identify who has been convicted
* If the relevant documentation is available electronically, please provide the web address, issuing authority, precise reference of the documents
 |  |
| 2.2 | If you have answered ‘yes’ to any of the points above have any measures been taken to demonstrate the reliability of the organisation despite the existence of a relevant ground for exclusion (self cleaning) | Yes [ ] No [ ]  |
| 2.3 (a) | Has it been established, for your organisation by a judicial or administrative decision having final and binding effect in accordance with the legal provisions of any part of the United Kingdom or the legal provisions of the country in which the organisation is established (if outside the UK) that the organisation is in breach of obligations related to the payment of tax or social security contributions?  | Yes [ ] No [ ]  |
| 2.3 (b) | If you have answered ‘yes’ to questions 2.3 (a) please also confirm that you have paid, or have entered into a binding arrangement with a view to paying, the outstanding sum including where applicable any accrued interest and /or fines. |  |

Please note: the Authority reserves the right to use its discretion to exclude a potential supplier where it can demonstrate by any appropriate means that the potential supplier is in breach of its obligations relating to the non-payment of taxes or social security contributions.

3.1 Grounds for Discretionary Exclusion

|  |  |  |
| --- | --- | --- |
| **Question No.** | **Question** | **Response** |
| 3.1 | Regulation 57 (8)The detailed grounds for discretionary exclusion of an organisation are set out on this [web page](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/551130/List_of_Mandatory_and_Discretionary_Exclusions.pdf) which should be referred to before completing these questions. Please indicate if, within the past three years, anywhere in the world any of the following situations have applied to you, your organisation or any other person who has powers of representation, decision or control in the organisation. |  |
| 3.1 (a) | Breach of environmental obligations? | Yes [ ] No [ ] If yes, please provide details at 3.2 |
| 3.1 (b) | Breach of social obligations? | Yes [ ] No [ ] If yes, please provide details at 3.2 |
| 3.1 (c) | Breach of labour obligations? | Yes [ ] No [ ] If yes, please provide details at 3.2 |
| 3.1 (d) | Bankrupt or is the subject of insolvency or winding-up proceedings, where the organisation’s assets are being administered by a liquidator or by the court, where it is an arrangement with creditors, where its business activities are suspended or it is in any analogous situation arising from a similar procedure under the law and regulations of any State? | Yes [ ] No [ ] If yes, please provide details at 3.2 |
| 3.1 (e) | Guilty of grave professional misconduct? | Yes [ ] No [ ] If yes, please provide details at 3.2 |
| 3.1 (f) | Entered into agreements with other economic operators aimed at distorting competition? | Yes [ ] No [ ] If yes, please provide details at 3.2 |
| 3.1 (g) | Aware of any conflict of interest within the meaning of regulation 24 due to the participation in the procurement procedure? | Yes [ ] No [ ] If yes, please provide details at 3.2 |
| 3.1 (h) | Been involved in the preparation of the procurement procedure? | Yes [ ] No [ ] If yes, please provide details at 3.2 |
| 3.1 (i) | Shown significant or persistent deficiencies in the performance of a substantive requirement under a prior public contract, a prior contract with a contracting entity or a prior concession contract, which led to early termination of that prior contract, damages or other comparable sanctions? | Yes [ ] No [ ] If yes, please provide details at 3.2 |
| 3.1 (j) | Please answer the following statements: |  |
| 3.1 (j) (i) | The organisation is guilty of serious misrepresentation in supplying the information required for the verification of the absence of grounds for exclusion or the fulfilment of the selection criteria | Yes [ ] No [ ] If yes, please provide details at 3.2 |
| 3.1 (j) (ii) | The organisation has withheld such information | Yes [ ] No [ ] If yes, please provide details at 3.2 |
| 3.1 (j) (iii) | The organisation is not able to submit supporting documents required under regulation 59 of the Public Contract Regulations 2015 | Yes [ ] No [ ] If yes, please provide details at 3.2 |
| 3.1 (j) (iv) | The organisation has influenced the decision making process of the contracting authority to obtain confidential information that may confer upon the organisation undue advantages in the procurement procedure, or to negligently provide misleading information that may have a material influence on decisions concerning exclusion, selection or award.  | Yes [ ] No [ ] If yes, please provide details at 3.2 |
| 3.2 | If you have answered ‘yes’ to any of the above, explain what measures have been taken to demonstrate the reliability of the organisation despite the existence of a relevant ground for exclusion (self cleaning) |  |

**PART 3: SELECTION QUESTIONS**

4 Economic and Financial Standing

|  |  |  |
| --- | --- | --- |
| **Question No.** | **Question** | **Response** |
| 4.1 | Are you able to provide a copy of your audited accounts for the last two years, if requested?If no, can you provide **one** of the following (answer with a ‘yes’ or ‘no’ in the relevant box):1. A statement of the turnover, Profit and Loss Account, Income Statement, Balance Sheet/Statement of Financial Position and Statement of Cash Flow for the most recent year of trading for this organisation
2. A statement of the cash flow forecast for the current year and a bank letter outlining the current cash and credit position
3. Alternative means of demonstrating financial status if any of the above are not available (e.g. forecast of turnover for the current year and a statement of funding provided by the owners and/or bank, charity accruals accounts or an alternative means of demonstrating financial status).
 | Yes [ ] No [ ] Yes [ ] No [ ] Yes [ ] No [ ] Yes [ ] No [ ]  |
| 4.2 | Where we have specified a minimum level of economic and financial standing and/or a minimum financial threshold within the evaluation criteria for this procurement, please self certify by answering ‘yes or ‘no’ that you meet those requirements | **N/A*****No minimum level of economic & financial standing or threshold has been specified****.* |

5 If you have indicated in question 1.2 that you are part of a wider group, please provide further details below:

|  |  |
| --- | --- |
| Name of Organisation |  |
| Relationship to the Supplier completing these questions |  |

|  |  |  |
| --- | --- | --- |
| **Question No.** | **Question** | **Response** |
| 5.1 | Are you able to provide parent company accounts if requested at a later stage? | Yes [ ] No [ ]  |
| 5.2 | If yes, would the parent company be willing to provide a guarantee if necessary? | Yes [ ] No [ ]  |
| 5.3 | If no, would you be able to obtain a guarantee elsewhere (e.g. from a bank)? | Yes [ ] No [ ]  |

6 Technical and Professional Ability

6.1 Please provide details of up to three contracts, in any combination from either the public or private sector; voluntary, charity or social enterprise (VSCE) that are relevant to our requirement. VCSEs may include examples of grant funded work. Contracts for supplies or services should have been performed during the last three years. Works contracts may be from the last five years.

 The named contact provided should be able to provide written evidence to confirm the accuracy of the information provided below.

 Consortia bids should provide relevant examples of where the consortium has delivered similar requirements. If this is not possible (e.g. the consortium is newly formed or a Special Purpose Vehicle to be created for this contract) then three separate examples should be provided between the principal member(s) of the proposed consortium or Special Purpose Vehicle (three examples are not required from each member).

 Where the supplier is Special Purpose Vehicle or a managing agent not intending to be the main provider of the supplies, services or works, the information requested should be provided in respect of the main intended provider(s) or sub-contractor(s) who will deliver the contract.

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Contract 1** | **Contract 2** | **Contract 3** |
| Name of Customer organisation |  |  |  |
| Point of contact in the organisation |  |  |  |
| Position in the organisation |  |  |  |
| Email address |  |  |  |
| Description of contract |  |  |  |
| Contract start date |  |  |  |
| Contract completion date |  |  |  |
| Estimated contract value |  |  |  |

Where you cannot provide at least one example for question 6.1, in no more than

500 words please provide an explanation for this, e.g. your organisation is a new

start up or you have provided services in the past but not under contract.

|  |
| --- |
|  |

|  |  |
| --- | --- |
| 6.2 | Where you intend to sub-contract a proportion of the contract, please demonstrate how you have previously maintained healthy supply chains with your sub-contractor(s).Evidence should include, but not be limited to, details of your supply chain management tracking systems to ensure performance of the contract and prompt payment or membership of the UK Prompt Payment Code (or equivalent) |
| **Response:**  |

7 Requirements Under Modern Slavery Act 2015

|  |  |  |
| --- | --- | --- |
| **Question No.** | **Question** | **Response** |
| 7.1 | Are you a relevant commercial organisation as defined by section 54 (Transparency in supply chains etc.) of the Modern Slavery Act 2015 i.e., a UK business with a turnover of more than £36m? | Yes [ ] No [ ]  |
| 7.2 | If you have answered ‘yes’ to question 7.1, are you compliant with the annual reporting requirements contained within section 54 of the Modern Slavery Act 2015 | Yes[ ] Please provide the relevant URLNo[ ] Please provide an explanation |

8 Additional Questions

Suppliers who self certify that they meet the requirements to these additional questions will be required to provide evidence of this if they are successful at contract award stage.

|  |  |  |
| --- | --- | --- |
| **Question No.** | **Question** | **Response** |
| 8.1 | Insurance:Please self certify whether you already have or are able to obtain, prior to the commencement of the contract the levels of insurance cover indicated below.Employers (Compulsory) Liability Insurance\* = £10mPublic Liability Insurance = £5mProfessional Indemnity Insurance = £5mProduct Liability Insurance = £5m\* It is a legal requirement that all companies hold Employer’s (Compulsory) Liability Insurance of £5 million as a minimum. Please note that this requirement does not apply to Sole Traders. | Yes [ ] No [ ] Yes[ ] No [ ] Yes [ ] No [ ] Yes [ ] No [ ]  |

|  |  |  |
| --- | --- | --- |
| **Question No.** | **Question** | **Response** |
| 8.2 | Health and Safety |  |
| 8.2 (a) | Does your company have a formal health and safety policy or statement?  | Yes [ ] No [ ]  |
| 8.2 (b) | Does your company have an accredited health and safety system e.g., to a relevant ISO standard or equivalent? | Yes [ ] No [ ]  |
| 8.2 (c) | Does your company have a specific director, partner or other person responsible for the implementation of your company’s health and safety policy?If ‘yes’, please provide brief details | Yes [ ] No [ ]  |
| 8.2 (d) | Does your company make use of suitably qualified third party health and safety consultants to ensure your H&S policy and practices are compliant and follow best practice?If ‘yes’, please provide brief details of the qualifications, experience and any membership of an appropriate professional body. If ‘no’, please indicate who provides advice on health and safety | Yes [ ] No [ ]  |
| 8.2 (e) | Does your organisation provide health and safety training to:i) staff?ii) sub-contractors?If ‘yes’, please provide brief details of the type, content frequency of training, e.g., at induction, task/project specific in relation to this contract | Yes [ ] No [ ]  |
| 8.2 (f) | Does your company maintain accident records? | Yes [ ] No [ ]  |
| 8.2 (g) | Do you consult staff on health and safety matters? | Yes [ ] No [ ]  |
| 8.2 (h) | Do you undertake risk assessments?If ‘yes’, please provide brief details? | Yes [ ] No [ ]  |
| 8.2 (i) | Has your organisation, over the past 5 years, been or is in the process of being investigated/ prosecuted for any health and safety offence?If ‘yes’, please provide details | Yes [ ] No [ ]  |
| 8.2 (j) | Has your organisation, over the past 5 years, been or is in the process of having any civil action brought against it for any health and safety offence?If ‘yes’, please provide details | Yes [ ] No [ ]  |
| 8.2 (k) | Has your organisation been served with any prohibition/ improvement notices for breaches of health and safety legislation in the past 3 years?If ‘yes’, please provide details including subsequent action taken by the organisation | Yes [ ] No [ ]  |

|  |  |  |
| --- | --- | --- |
| **Question No.** | **Question** | **Response** |
| 8.3 | Environmental Management |  |
| 8.3 (a) | Does your organisation have a policy regarding the safe management of the environment? | Yes [ ] No [ ]  |

|  |  |  |
| --- | --- | --- |
| **Question No.** | **Question** | **Response** |
| 8.4 | Equal Opportunities |  |
| 8.4 (a) | Do you have an Equality and Diversity/Human Rights Policy or statement which complies with your statutory obligation under UK/EU equalities and discrimination legislation (or equivalent legislation and regulations in the countries where you employ staff)? | Yes [ ] No [ ]  |
| 8.4 (b) | In the past 3 years has any claim or finding of unlawful discrimination or harassment been made against your organisation by any court or industrial tribunal or equivalent body?If ‘yes’, please give details | Yes [ ] No [ ]  |
| 8.4 (c) | In the past 3 years has your organisation been the subject of formal investigation by the Equality and Human Rights Commission or equivalent body?If ‘yes, please give details. | Yes [ ] No [ ]  |
| 8.4 (d) | Does your organisation have a grievance process and practice that covers complaints made in respect of equalities and diversity? | Yes [ ] No [ ]  |
| *8.4 (e)* | *Not used*  | *Not used* |
| **9.0** | **Qualifications** |  |
| 9.1 | Do your assessor (s) that will provide the specified services possess the following qualifications:-Trusted Assessor– Installations \* and- HNC Construction\*i.e., the assessor(s) must have completed relevant ‘Trusted Assessor’ training for the assessment, use and fitting of basic daily living equipment.Providers of this type of training include the Disabled Living Foundation (DLF) [www.dlf.org.uk](http://www.dlf.org.uk) | Yes [ ] No [ ]  |
| **10.0** | **DBS Checks (‘Police checks’)** |  |
| All persons who provide the specified services must have undergone an Enhanced DBS check including a check against the adults’ and childrens’ barred lists to the satisfaction of the Council prior to the start of the contract or before they are employed on the contract.This requirement also extends to all other persons, such as sub-contractors, engaged to provide any part of the specified services.The appointed provider will be responsible for ensuring that all such checks and other safeguarding measures required by the Council are completed or complied with for their own work force and for any sub-contractors they employ to provide the specified services. Further details on DBS checks may be found at [**www.gov.uk/dbs**](http://www.homeoffice.gov.uk/dbs)Customer RelationsThe Disclosure and Barring ServicePO Box 165, Liverpool, L69 3EFTel: 03000 200 190Minicom: 03000 200 192CustomerServices@dbs.gsi.gov.uk |
| 10.1 | Prior to providing the specified services to the Council, will your organisation ensure that the required type and level of DBS checks are obtained for your workforce and also for the workforce of all your sub-contractors and that all safeguarding measures in the Specification and Conditions of Contract for this Service are completed and complied with to the satisfaction of the Council? | Yes [ ] No [ ]  |

**Documentary evidence to support any declarations made in the tender documents may be requested from the successful bidder. The Council also reserves the right to request such evidence from any bidder at any point in the tender process.**

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**TENDER SUBMISSION**

Please note that only those organisations which pass the Standard Selection Questionnaire (SSQ) will have their Tender submissions evaluated.

Please answer the following evaluation questions.

Please confine your responses to this document, Document Four, the Response document.

Please only include attachments where they serve to support your response.

Please do not include large or multiple images within the Response document.

Please note that your completed Response document must be no larger than 10mb.

Please do not submit marketing material.

Please see Document One Instructions for details of how responses will be evaluated.

**QUALITY (70%)**

***RESPONSES: Please provide your responses in the body of this document expanding the document as necessary to accommodate your answer. Please keep to the word limit. Thank you.***

**Method statement questions**

|  |  |  |
| --- | --- | --- |
| 1. | **Service Model** | **14%** |
| Outline your experience of delivering this type of service. To include: * delivery of an effective service within Rutland
* demonstrating your understanding of Rutland’s communities
* demonstrating your understanding of Rutland’s health and social care issues
* ensuring service continuity
 |
| Response: |
|  | Max. Word Count: 750 words |
| 2. | **Service Characteristics** | **14%** |
| Please describe how you will provide the specified services and break down your response into the separate elements of the service:a) Minor adaptions b) Handyperson servicec) Signpostingd) Housing MOTPlease include details of any sub contract arrangements and procurement processes, if any, you intend to use to provide the specified services. |
| Response: |
|  | Max. Word Count: 750 words |
| 3. | **Outcomes** | **14%** |
| Please describe how you intend to measure and evaluate service performance and compliance to ensure:• Good quality assessments and advice has been provided• Agreed service outcomes are met • Continuous improvement in service delivery• Customer satisfaction |
| Response: |
|  | Max. Word Count: 750 words |

|  |  |  |
| --- | --- | --- |
| 4. | **Staffing** | **7%** |
| Please provide the staff structure for the Service, including management and details of the skills, experience and qualifications that each member of staff or role will possess.Please describe what training and support will be provided to staff to ensure they have and maintain the relevant skills, competence, knowledge and qualities to deliver an effective Service. |
| Response: |
|  | Max. Word Count: 500 words |
| 5. | **Safeguarding** | **7%** |
| In providing the specified service, what will your approach be to safeguarding and the protection of vulnerable Service Users? If you are proposing to use sub-contractors for part of the service, please also describe how you will ensure that they comply with safeguarding requirements and best practice too. |
| Response: |
|  | Max. Word Count: 250 words |
| 6. | **Service User Engagement** | **10%** |
| Please describe how you will ensure service users are involved in decision making about the services and support they receive, and use feedback from service users to develop and improve the Service |
| Response: |
|  | Max. Word Count: 250 words |
| 7. | **Social Value** | **4%** |
| Detail the social value your service will deliver across at least two of the three areas of: supporting the local economy; reducing demand for public services; and looking after the local environment. This should be additional value above and beyond the specified service and at no additional cost. |
| Response: |
|  | Max. Word Count: 250 words |

**PRICE (30%)**

Please complete the following Pricing Schedule, ensuring that you have provided a cost in each of the relevant boxes. All prices quoted should exclude VAT.

Please note that all additional costs must be identified and included in the table below, additional costs which are not identified at this stage cannot be charged for during the Contract Term unless by the express agreement of the Council.

|  |  |
| --- | --- |
| **PRICE AREA** | **PRICE £** |
| Annual price for providing the core service |  |
| Estimated annual price for minor adaptations. (Please complete the table below and then enter the Grand Total based on the quantities entered against particular items to give an estimated annual price for minor adaptations) |  |
| Additional annual costs (if any) - *Please note that all additional costs must be identified, additional costs which are not identified at this stage cannot be charged for during the Contract Term unless by the express agreement of the Council.* |  |
| **TOTAL** |  |

**Estimated Annual Price for Minor Adaptations**

(Your charges for a Single item must be entered in the Schedule of Rates on the following page along with your charges for Second and subsequent items of the same kind).

|  |  |  |  |
| --- | --- | --- | --- |
| Item | £ (Single Item) | Quantity | £ Total |
| **Raisers**  |  |  |  |
| Chairs/Beds/Sofas |  |  |  |
| 4 legs |  |  15 |  |
| 5 legs |  |  3 |  |
| 6 legs |  |  1 |  |
| 8 legs |  |  0 |  |
| **Stair Rails** |  |  |  |
| Per Metre |  | 135m |  |
| **Grab Rails** (+ £10 for plastic rails) |  |  |  |
| Fixed Flanged |  |  |  |
| 30cm |  | 148 |  |
| 38cm |  |  1 |  |
| 46cm |  |  97 |  |
| 61cm |  |  39 |  |
| 80cm |  |  1 |  |
| Flat End |  |  |  |
| 46cm |  |  9 |  |
| 61cm |  |  3 |  |
| 70cm |  |  0 |  |
| **Newel Rails** |  |  16 |  |
| **Merton/Swedish** |  |  0 |  |
| **Drop Down bath seat** |  |  0 |  |
| **Tooting SS** |  |  0 |  |
| **Derby bath bench** |  |  0 |  |
| **L shaped rail** |  |  0 |  |
| **Half step** |  |  23 |  |
| **Drop down rail** |  |  2 |  |
| With leg |  |  0 |  |
| **Key Safe** |  |  |  |
| Small |  |  13 |  |
| Large |  |  1 |  |
| **Total**  |  |  | **£** |
| **Price per visit to supply and fit equipment**  |  | 290 | **£** |
| **Grand Total (£)** |  |  | **£** |

**Schedule of Rates: Minor Adaptations**

Please complete the table below including a price per item for supplying the second and subsequent item of the same type.

The Schedule of Rates for Minor Adaptations will form part of the contract.

|  |  |  |
| --- | --- | --- |
| Item | £ (Single Item) | £ (Second and subsequent items) |
| **Raisers**  |  |  |
| Chairs/Beds/Sofas |  |  |
| 4 legs |  |  |
| 5 legs |  |  |
| 6 legs |  |  |
| 8 legs |  |  |
| **Stair Rails** |  |  |
| Per Metre |  |  |
| **Grab Rails** (+ £10 for plastic rails) |  |  |
| Fixed Flanged |  |  |
| 30cm |  |  |
| 38cm |  |  |
| 46cm |  |  |
| 61cm |  |  |
| 80cm |  |  |
| Flat End |  |  |
| 46cm |  |  |
| 61cm |  |  |
| 70cm |  |  |
| **Newel Rails** |  |  |
| **Merton/Swedish** |  |  |
| **Drop Down bath seat** |  |  |
| **Tooting SS** |  |  |
| **Derby bath bench** |  |  |
| **L shaped rail** |  |  |
| **Half step** |  |  |
| **Drop down rail** |  |  |
| With leg |  |  |
| **Key Safe** |  |  |
| Small |  |  |
| Large |  |  |
| **Price per visit to supply and fit equipment**  |  |  |

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**Appendix 1: Form of Tender**

Date: ..........................................

**Tender for:**

**Rutland County Coucil – Home improvement agency service (HIA)**

I/We the undersigned, hereby tender and offer to provide the Contract as listed above which is more particularly referred to in the Invitation to Tender supplied to me/us for the purpose of tendering for the provision of the Contract and upon the terms thereof.

I/We undertake in the event of acceptance of our Tender to execute the Contract within 15 business days of such acceptance and if required in the interim provide the Contract in accordance with the Contract if necessary.

I/We understand that the Council reserves the right to accept or refuse this Tender whether it is lower, the same, or higher than any other Tender.

I/We confirm that the information supplied to you and forming part of this Tender including (for the avoidance of doubt) any information supplied to you as part of my/our initial expression of interest in tendering, was true when made and remains true and accurate in all respects.

I/We confirm that this Tender will remain valid for 90 days from the date of this Form of Tender.

I/We confirm and undertake that if any of such information becomes untrue or misleading that I/we shall notify you immediately and update such information as required.

I/We confirm that the undersigned are authorised to commit the Bidder to the contractual obligations contained in the Invitation to Tender and the Contract.

Signed by

Name …………………………………….

Position ………………………………….

Signed by

Name …………………………………….

Position ………………………………….

for and on behalf of

……………………………………………………………………………………… (Bidder)

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**APPENDIX 2: COLLUSIVE TENDERING CERTIFICATE**

We certify that this is a bona fide tender, and that we have not fixed or adjusted the amount of the tender by or under or in accordance with any agreement or arrangement with any other person. We also certify that we have not done so and we undertake that we will not do so at any time before the hour and date specified for the return of this tender any of the following acts:

1. Communicating to a person other than the person calling for these tenders the amount or approximate amount of the proposed tender, except where the disclosure, in confidence, of the approximate amount of the tender was necessary to obtain insurance premium quotations required for the preparation of the tender;
2. Entering into agreement or arrangement with any other person that he shall refrain from tendering or as to the amount of any tender to be submitted;
3. Offering or paying or giving or agreeing to any sum of money or valuable consideration directly or indirectly to any person for doing or having done or causing or having caused to be done in relation of any tender or proposed tender for that said work or thing of any sort described above.

In this certificate, the word ‘person’ includes any persons and any body or association, corporate or unincorporated; and ‘any agreement or arrangement’ includes any such transaction, formal or informal, whether legally binding or not.

Signed ………………………………………………………………………..

Name …………………………………………………………………………

On behalf of ……………………………………………………………………

Date …………………………………………………………………………

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**APPENDIX 3: CONFIDENTIAL & COMMERCIALLY SENSITIVE INFORMATION**

**The following form should be submitted with all bids to indicate areas of the submission that are considered to be either *confidential* or *commercially sensitive*.**

**Confidential material** is as defined in Section 41 of the Freedom of Information Act, 2000 (FoI) where the disclosure of the information would constitute an actionable breach of confidence.

**Commercially sensitive** material is as defined in Section 43 of the FoI Act and relates to *‘information, the disclosure of which would be likely to prejudice the commercial interests of any person’*.

Bidders should make themselves aware of the definition of each term as well as the circumstances in which FoI & Environmental Information Regulations 2004 (EIR) exemptions apply.

**Confidential Material Checklist**

|  |
| --- |
|  |

Name of Organisation:

Confidential documents not for disclosure to third parties under the FoI & EIR. The Council may be obliged to disclose information in or relating to this bid following a request for information under FoI or EIR therefore please outline in the table below all items which you consider are genuinely confidential and which are not for disclosure in respect of your application.

|  |  |  |
| --- | --- | --- |
| **Information / Document**  | **Reference / Page No.** | **Reasons for non-disclosure**  |
|  |  |  |
|  |  |  |
|  |  |  |
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|  |  |  |
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|  |  |  |
|  |  |  |
|  |  |  |

The applicant acknowledges that the confidential information listed in this schedule is of indicative value only and the Council may be obliged to disclose it following a request under FOI or EIR.

The Council shall act reasonably and use its discretion, ensuring that the latest guidance/case law is taken into account, when making a decision to release or withhold information pertaining to the above if it is requested. By indicating what information believed to be confidential the Council will consider those views however the Council will make the final decision to disclose information or not.

**Commercially Sensitive Material Checklist**

**Commercially sensitive documents not for disclosure to third parties under FoI or EIR**

|  |
| --- |
|  |

Name of Organisation:

The Council may be obliged to disclose information in or relating to this bid exercise following a request for information under FoI or EIR therefore please outline in the table below items which are considered genuinely commercially sensitive and which are not for disclosure in respect of this bid.

|  |  |  |  |
| --- | --- | --- | --- |
| Information / Document | Reference / Page No. | Reasons for non-disclosure (cite exemption(s) to be considered) | Duration of confidentiality |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

The applicant acknowledges that the commercially sensitive information listed in this schedule is of indicative value only and the Council may be obliged to disclose it pursuant to a request under FOI or EIR.

The Council shall act reasonably and use its discretion, ensuring that the latest guidance/case law is taken into account, when making a decision to release or withhold information pertaining to the above if it is requested. By indicating what information is believed to be commercially sensitive the Council will consider those views however the Council will make the final decision to disclose information or not.

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