TENDER RESPONSE – PART A – STANDARD SELECTION QUESTIONNAIRE (SQ) FOR EXTERNAL AUDITOR FOR COUNCIL CONTROLLED CHARITIES

Strategic Procurement

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PART A

This document is divided into two parts:

Part A provides information about the SQ process for the award of the contract by the Council.

Part B sets out in the form of a selection questionnaire the information which Bidders must submit to the Council.

1. Overview of the Document and Procurement Process

1.1. Part A of this document sets out background information about the process that the Council will be using with regards to the SQ.

1.2. This document has been produced to enable the Council to obtain information from potential Bidders about (i) their economic and financial standing; and (ii) their technical and/or professional ability to perform the proposed contract.

1.3. Part B of this document includes a questionnaire that asks for financial and technical information from you. The financial information which we are asking you to provide allows the Council to be satisfied that a Bidder has adequate financial and other resources to deliver the contract. The technical information allows the Council to assess whether a Bidder has the relevant skills and experience to be capable of performing the proposed contract to meet the Council's needs.

1.4. All Bidders are required to complete the SQ (as contained in Part B) and the other Tender Response Documents in order to submit their completed bid. Bids must therefore comprise both the SQ and Tender Response Documents. Bidders' SQ submissions will be evaluated prior to evaluation of the further Tender Responses.

1.5. The Council reserves the right to reject any Bidder if it fails to satisfy any of the "Pass" requirements in the SQ (Part B).

1.6. The Council will evaluate Bidder responses to the selection questions in the SQ (Part B of this document) before evaluating the remainder of the Bid. All Bidders meeting the selection criteria (set out in Section 5 of the Invitation to Tender) will proceed to the tender evaluation stage and will have the remainder of their Bids evaluated. Bidders which do not meet the selection criteria, will not proceed to the tender evaluation stage.

1.7. The Council intends to review the responses provided by Bidders and evaluate those responses that comply with the requirements of this SQ using the selection stage criteria and weightings outlined in Section 5 of the Invitation to Tender document.

1.8. Following the evaluation exercise in paragraph 1.5 above, the Council will then proceed to evaluate the tender responses submitted by Bidders who satisfy the minimum requirements of the SQ and appoint the Bidder offering the most economically advantageous tender.

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2. The Selection Questionnaire

2.1. The SQ and supporting Procurement Documents have been prepared by the Council for the purpose of providing an application procedure for individuals or organisations interested in tendering for these services and to assist Bidders in making their own evaluation of the potential opportunity.

2.2. Whilst prepared in good faith, the SQ and supporting Procurement Documents are intended only as a preliminary background explanation of the Council's activities and plans and is not intended to form the basis of any decision on whether to enter into any contractual relationship with the Council. The SQ and supporting Procurement Documents do not purport to be all-inclusive or to contain all of the information that a potential Bidder may require.

2.3. Any persons considering making a decision to participate in this procurement competition process with the Council following receipt of the SQ (with a view of entering into a contract with the Council if successful) should make their own investigations and their own independent assessment of the Council and its requirements for the services and should seek their own independent professional financial and legal advice.

2.4. Neither the Council nor its advisers, directors, officers, members, partners, employees, other staff, agents (or advisers of any such body or person):

2.4.1. makes any representation or warranty (express or implied) as to the accuracy, reasonableness or completeness of the SQ (and supporting Procurement Documents or any other subsequent documents, communications or information provided during the procurement process);

2.4.2. accepts any responsibility for the information contained in the SQ (and supporting Procurement Documents or any other subsequent documents, communications or information provided during the procurement process) or for its fairness, accuracy or completeness;

2.4.3. shall be liable for any loss or damage (other than in respect of fraudulent misrepresentation) arising as a result of reliance on such information or any subsequent communication.

2.5. Only the express terms of any written contract relating to the subject matter of the contract as and when it is executed shall have any contractual effect in connection with the matters to which it relates. English law will govern any such contract.

2.6. Nothing in the SQ (and supporting Procurement Documents or any other subsequent documents, communications or information provided during the procurement process) is, or should be, relied upon as a promissory or a representation as to Council's ultimate decisions in relation to the scope of services which may be awarded by the Council at the conclusion of the procedure.

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Part B – Standard Selection Questionnaire

Potential Supplier Information and Exclusion Grounds: Part 1 and Part 2.

The standard Selection Questionnaire is a self-declaration, made by you (the potential supplier), that you do not meet any of the grounds for exclusion[1]. If there are grounds for exclusion, there is an opportunity to explain the background and any measures you have taken to rectify the situation (we call this self-cleaning).

A completed declaration of Part 1 and Part 2 provides a formal statement that the organisation making the declaration has not breached any of the exclusions grounds. Consequently, we require all the organisations that you will rely on to meet the selection criteria to provide a completed Part 1 and Part 2. For example, these could be parent companies, affiliates, associates, or essential sub-contractors, if they are relied upon to meet the selection criteria. This means that where you are joining in a group of organisations, including joint ventures and partnerships, each organisation in that group must complete one of these self-declarations. Sub-contractors that you rely on to meet the selection criteria must also complete a self-declaration (although sub-contractors that are not relied upon do not need to complete the self-declaration).

When completed, this form is to be sent back to the contact point given in the procurement documents along with the selection information requested in the procurement documentation.

Supplier Selection Questions: Part 3

The procurement document will provide instructions on the selection questions you need to respond to and how to submit those responses. If you are bidding on behalf of a group (consortium) or you intend to use sub-contractors, you should complete all of the selection questions on behalf of the consortium and/or any sub-contractors.

If the relevant documentary evidence referred to in the Selection Questionnaire is not provided upon request and without delay we reserve the right to amend the contract award decision and award to the next compliant bidder.

Consequences of misrepresentation

If you seriously misrepresent any factual information in filling in the Selection Questionnaire, and so induce an authority to enter into a contract, there may be significant consequences. You may be excluded from the procurement procedure, and from bidding for other contracts for three years. If a contract has been entered into you may be sued for damages and the contract may be rescinded. If fraud, or fraudulent intent, can be proved, you or your responsible officers may be prosecuted and convicted of the offence of fraud by false representation, and you must be excluded from further procurements for five years.

[1] For the list of exclusion please see https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/551130/List_of_Mandatory_and_Discretionary_Exclusions.pdf

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EXTERNAL AUDITOR FOR COUNCIL CONTROLLED COMPANIES

OPEN PROCEDURE

Notes for completion

1. The "authority" means the contracting authority, or anyone acting on behalf of the contracting authority, that is seeking to invite suitable candidates to participate in this procurement process.

2. "You" / "Your" refers to the potential supplier completing this standard Selection Questionnaire i.e. the legal entity responsible for the information provided. The term "potential supplier" is intended to cover any economic operator as defined by the Public Contracts Regulations 2015 (referred to as the "regulations") and could be a registered company; the lead contact for a group of economic operators; charitable organisation; Voluntary Community and Social Enterprise (VCSE); Special Purpose Vehicle; or other form of entity.

3. Please ensure that all questions are completed in full, and in the format requested. If the question does not apply to you, please state 'N/A'. Should you need to provide additional information in response to the questions, please submit a clearly identified annex.

4. The authority recognises that arrangements set out in section 1.2 of the standard Selection Questionnaire, in relation to a group of economic operators (for example, a consortium) and/or use of sub-contractors, may be subject to change and will, therefore, not be finalised until a later date. The lead contact should notify the authority immediately of any change in the proposed arrangements and ensure a completed Part 1 and Part 2 is submitted for any new organisation relied on to meet the selection criteria. The authority will make a revised assessment of the submission based on the updated information.

5. For Part 1 and Part 2 every organisation that is being relied on to meet the selection must complete and submit the self-declaration.

6. For answers to Part 3 - If you are bidding on behalf of a group, for example, a consortium, or you intend to use sub-contractors, you should complete all of the questions on behalf of the consortium and/ or any sub-contractors, providing one composite response and declaration.

The authority confirms that it will keep confidential and will not disclose to any third parties any information obtained from a named customer contact, other than to the Cabinet Office and/or contracting authorities defined by the regulations, or pursuant to an order of the court or demand made by any competent authority or body where the authority is under a legal or regulatory obligation to make such a disclosure.

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Part 1 – Potential Supplier Information

Please answer the following questions in full. Note that every organisation that is being relied on to meet the selection must complete and submit the Part 1 and Part 2 self-declaration.

Section 1	Potential Supplier Information		
Question Number	**Question**	**Response**	
1.1(a)	Full name of the potential supplier submitting the information:		
1.1 (b) – (i)	Registered office address (if applicable):		
1.1 (b) – (i)	Registered website address (if applicable):		
1.1 (c)	Trading Status: Please type 'Yes' in the relevant box to indicate your trading status	a) public limited company	
		b) limited company	
		c) limited liability partnership	
		d) other partnership	
		e) sole trader	
		f) third sector	
		g) other (please specify your trading status):	
1.1(d)	Date of registration in country of origin:		
1.1(e)	Company registration number (if applicable):		
1.1(f)	Charity registration number (if applicable):		
1.1(g)	Head office DUNS number (if applicable):		
1.1(h)	Registered VAT number:		
1.1(i) - (i)	If applicable, is your organisation registered with the appropriate professional or trade register(s) in the member state where it is established? (delete as appropriate):	Yes / No / N/A	

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1.1(i) - (ii)	If you responded yes to 1.1(i) - (i), please provide the relevant details, including the registration number(s):		
1.1(j) - (i)	Is it a legal requirement in the state where you are established for you to possess a particular authorisation, or be a member of a particular organisation in order to provide the services specified in this procurement? (delete as appropriate):	Yes or No	
1.1(j) - (ii)	If you responded yes to 1.1(j) - (i), please provide additional details of what is required and confirmation that you have complied with this:		
1.1(k)	Trading name(s) that will be used if successful in this procurement:		
1.1(l)	Relevant classifications (state whether you fall within one of these, and if so which one): Please type 'Yes' in the relevant boxes to indicate whether any of the classifications apply to you	a) Voluntary, Community Social Enterprise (VCSE)	
		b) Sheltered workshop	
		c) Public service mutual	
1.1(m)	Are you a Small, Medium or Micro Enterprise (SME)[2]? (delete as appropriate)	Yes / No	
1.1(n)	Details of Persons of Significant Control (PSC), where appropriate: [3]		
	Name:		
	Date of birth:		
	Nationality:		
	Country, state or part of the UK where the PSC usually lives:		

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[2] See EU definition of SME https://ec.europa.eu/growth/smes/business-friendly-environment/sme-definition_en

[3] UK companies, Societates European (SEs) and limited liability partnerships (LLPs) will be required to identify and record the people who own or control their company. Companies, SEs and LLPs will need to keep a PSC register, and must file the PSC information with the central public register at Companies House. See PSC guidance.

	Service address:	
	The date he or she became a PSC in relation to the company (for existing companies the 6 April 2016 should be used):	
	Which conditions for being a PSC are met: (please indicate putting a yes next to the relevant option):	
	• Over 25% up to (and including) 50%	
	• More than 50% and less than 75%	
	• 75% or more.	
1.1(o)	Details of immediate parent company: (If applicable):	
	Full name of the immediate parent company:	
	Registered office address (if applicable):	
	Registration number (if applicable):	
	Head office DUNS number (if applicable):	
	Head office VAT number (if applicable):	
1.1(p)	Details of ultimate parent company: (if applicable):	
	Full name of the ultimate parent company:	
	Registered office address (if applicable):	
	Registration number (if applicable):	
	Head office DUNS number (if applicable):	
	Head office VAT number (if applicable):	

Please note: A criminal record check for relevant convictions may be undertaken for the preferred suppliers and the persons of significant in control of them.

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Please provide the following information about your approach to this procurement:

Section 1	Bidding Model	
Question Number	**Question**	**Response**
1.2(a) - (i)	Are you bidding as the lead contact for a group of economic operators? If yes, please provide details listed in questions 1.2(a) (ii), (a) (iii) and to 1.2(b) (i), (b) (ii), 1.3, Section 2 and 3. If no, and you are a supporting bidder please provide the name of your group at 1.2(a) (ii) for reference purposes, and complete 1.3, Section 2 and 3.	Yes / No
1.2(a) - (ii)	Name of group of economic operators (if applicable):	
1.2(a) - (iii)	Proposed legal structure if the group of economic operators intends to form a named single legal entity prior to signing a contract, if awarded. If you do not propose to form a single legal entity, please explain the legal structure:	
1.2(b) - (i)	Are you or, if applicable, the group of economic operators proposing to use sub-contractors?	Yes / No / N/A

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1.2(b) - (ii)	If you responded yes to 1.2(b)-(i) please provide additional details for each sub-contractor in the following table: we may ask them to complete this form as well.					
	Name:					
	Registered address:					
	Trading status:					
	Company registration number:					
	Head Office DUNS number (if applicable):					
	Registered VAT number:					
	Type of organisation:					
	SME (Yes/No):	Yes / No	Yes / No	Yes / No	Yes / No	Yes / No
	The role each sub-contractor will take in providing the works and /or supplies e.g. key deliverables:					
	The approximate % of contractual obligations assigned to each sub-contractor:					

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Contact details and declaration

I declare that to the best of my knowledge the answers submitted and information contained in this document are correct and accurate.

I declare that, upon request and without delay I will provide the certificates or documentary evidence referred to in this document.

I understand that the information will be used in the selection process to assess my organisation's suitability to be invited to participate further in this procurement.

I understand that the authority may reject this submission in its entirety if there is a failure to answer all the relevant questions fully, or if false/misleading information or content is provided in any section.

I am aware of the consequences of serious misrepresentation.

Section 1	Contact Details and Declaration	
Question Number	**Question**	**Response**
1.3 (a)	Contact name:	
1.3(b)	Name of organisation:	
1.3(c)	Role in organisation:	
1.3(d)	Phone number:	
1.3(e)	E-mail address:	
1.3(f)	Postal address:	
1.3(g)	Signature (electronic is acceptable)	
1.3(h)	Date:	

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Part 2: Exclusion Grounds

Please answer the following questions in full. Note that every organisation that is being relied on to meet the selection must complete and submit the Part 1 and Part 2 self-declaration.

Section 2	Grounds For Mandatory Exclusion	
Question Number	**Question**	**Response**
2.1(a)	**Regulations 57(1) and (2)** The detailed grounds for mandatory exclusion of an organisation are set out on this webpage, which should be referred to before completing these questions. Please indicate if, within the past five years you, your organisation or any other person who has powers of representation, decision or control in the organisation been convicted anywhere in the world of any of the offences within the summary below and listed on the webpage.	
	Participation in a criminal organisation. *If Yes please provide details at 2.1 (b)*	Yes or No
	Corruption. *If Yes please provide details at 2.1 (b)*	Yes or No
	Fraud. *If Yes please provide details at 2.1 (b)*	Yes or No
	Terrorist offences or offences linked to terrorist activities *If Yes please provide details at 2.1 (b)*	Yes or No
	Money laundering or terrorist financing *If Yes please provide details at 2.1 (b)*	Yes or No
	Child labour and other forms of trafficking in human beings *If Yes please provide details at 2.1 (b)*	Yes or No
2.1(b)	If you have answered yes to question 2.1(a), please provide further details. Date of conviction, specify which of the grounds listed the conviction was for, and the reasons for conviction, Identity of who has been convicted If the relevant documentation is available electronically please provide the web address, issuing authority, precise reference of the documents.	

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2.2	If you have answered Yes to any of the points above have measures been taken to demonstrate the reliability of the organisation despite the existence of a relevant ground for exclusion? (Self Cleaning)	Yes or No
2.3(a)	**Regulation 57(3)** Has it been established, for your organisation by a judicial or administrative decision having final and binding effect in accordance with the legal provisions of any part of the United Kingdom or the legal provisions of the country in which the organisation is established (if outside the UK), that the organisation is in breach of obligations related to the payment of tax or social security contributions?	Yes or No
2.3(b)	If you have answered yes to question 2.3(a), please provide further details. Please also confirm you have paid, or have entered into a binding arrangement with a view to paying, the outstanding sum including where applicable any accrued interest and/or fines.	

Please Note: The authority reserves the right to use its discretion to exclude a potential supplier where it can demonstrate by any appropriate means that the potential supplier is in breach of its obligations relating to the non-payment of taxes or social security contributions.

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Section 3	Grounds for Discretionary Exclusion	
Question Number	**Question**	**Response**
3.1	**Regulation 57 (8)** The detailed grounds for discretionary exclusion of an organisation are set out on this webpage, which should be referred to before completing these questions. Please indicate if, within the past three years, anywhere in the world any of the following situations have applied to you, your organisation or any other person who has powers of representation, decision or control in the organisation.	
3.1(a)	Breach of environmental obligations? *If yes, please provide details at 3.2*	Yes or No
3.1 (b)	Breach of social obligations? *If yes, please provide details at 3.2*	Yes or No
3.1 (c)	Breach of labour law obligations? *If yes, please provide details at 3.2*	Yes or No
3.1(d)	Bankrupt or is the subject of insolvency or winding-up proceedings, where the organisation's assets are being administered by a liquidator or by the court, where it is in an arrangement with creditors, where its business activities are suspended or it is in any analogous situation arising from a similar procedure under the laws and regulations of any State? *If yes, please provide details at 3.2*	Yes or No
3.1(e)	Guilty of grave professional misconduct? *If yes, please provide details at 3.2*	Yes or No
3.1(f)	Entered into agreements with other economic operators aimed at distorting competition? *If yes, please provide details at 3.2*	Yes or No
3.1(g)	Aware of any conflict of interest within the meaning of regulation 24 due to the participation in the procurement procedure? *If yes, please provide details at 3.2*	Yes or No
3.1(h)	Been involved in the preparation of the procurement procedure? *If yes, please provide details at 3.2*	Yes or No

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3.1(i)	Shown significant or persistent deficiencies in the performance of a substantive requirement under a prior public contract, a prior contract with a contracting entity, or a prior concession contract, which led to early termination of that prior contract, damages or other comparable sanctions? *If yes, please provide details at 3.2*	Yes or No
3.1(j)	Please answer the following statements:	
3.1(j) - (i)	The organisation is guilty of serious misrepresentation in supplying the information required for the verification of the absence of grounds for exclusion or the fulfilment of the selection criteria. *If yes, please provide details at 3.2*	Yes or No
3.1(j) - (ii)	The organisation has withheld such information. *If yes, please provide details at 3.2*	Yes or No
3.1(j) –(iii)	The organisation is not able to submit supporting documents required under regulation 59 of the Public Contracts Regulations 2015. *If yes, please provide details at 3.2*	Yes or No
3.1(j)-(iv)	The organisation has influenced the decision-making process of the contracting authority to obtain confidential information that may confer upon the organisation undue advantages in the procurement procedure, or to negligently provided misleading information that may have a material influence on decisions concerning exclusion, selection or award. *If yes, please provide details at 3.2*	Yes or No
3.2	If you have answered Yes to any of the above, explain what measures been taken to demonstrate the reliability of the organisation despite the existence of a relevant ground for exclusion? (Self-Cleaning)	

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Part 3: Selection Questions

Section 4	Economic and Financial Standing	
Question Number	**Question**	**Response**
4.1	Please indicate which of the following you have provided to demonstrate your economic/financial standing: Are you able to provide a copy of your audited accounts for the last two years, if requested? If no, can you provide **one** of the following: answer with Y/N in the relevant box.	Yes or No
	(a) A statement of the turnover, Profit and Loss Account/Income Statement, Balance Sheet/Statement of Financial Position and Statement of Cash Flow for the most recent year of trading for this organisation.	Yes or No
	(b) A statement of the cash flow forecast for the current year and a bank letter outlining the current cash and credit position.	Yes or No
	(c) Alternative means of demonstrating financial status if any of the above are not available (e.g. forecast of turnover for the current year and a statement of funding provided by the owners and/or the bank, charity accruals accounts or an alternative means of demonstrating financial status).	Yes or No
4.2	Where we have specified a minimum level of economic and financial standing and/ or a minimum financial threshold within the evaluation criteria for this procurement, please self-certify by answering 'Yes' or 'No' that you meet the requirements set out.	Yes or No

Section 5	If you have indicated in the Selection Questionnaire question 1.2 that you are part of a wider group, please provide further details below:	
Name of Organisation:		
Relationship to the Supplier completing these questions:		
5.1	Are you able to provide parent company accounts if requested to at a later stage?	Yes or No
5.2	If yes, would the parent company be willing to provide a guarantee if necessary?	Yes or No
5.3	If no, would you be able to obtain a guarantee elsewhere (e.g. from a bank)?	Yes or No

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Section 6	Technical and Professional Ability

6.1 **Relevant experience and contract examples**

Please provide details of up to three contracts, in any combination from either the public or private sector; voluntary, charity or social enterprise (VCSE) that are relevant to our requirement. VCSEs may include samples of grant-funded work. Contracts for supplies or services should have been performed during the past three years. Works contracts may be from the past five years.

The named contact provided should be able to provide written evidence to confirm the accuracy of the information provided below.

Consortia bids should provide relevant examples of where the consortium has delivered similar requirements. If this is not possible (e.g. the consortium is newly formed or a Special Purpose Vehicle is to be created for this contract) then three separate examples should be provided between the principal member(s) of the proposed consortium or Special Purpose Vehicle (three examples are not required from each member).

Where the Supplier is a Special Purpose Vehicle, or a managing agent not intending to be the main provider of the supplies or services, the information requested should be provided in respect of the main intended provider(s) or sub-contractor(s) who will deliver the contract.

If you cannot provide examples see question 6.3

Contract 1	
Name of Customer Organisation:	
Point of contact in the organisation:	
Position in the organisation:	
E-mail address:	
Description of contract:	
Contract Start date:	
Contract completion date:	
Estimated contract value:	

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	Contract 2	
	Name of Customer Organisation:	
	Point of contact in the organisation:	
	Position in the organisation:	
	E-mail address:	
	Description of contract:	
	Contract Start date:	
	Contract completion date:	
	Estimated contract value:	
	Contract 3	
	Name of Customer Organisation:	
	Point of contact in the organisation:	
	Position in the organisation:	
	E-mail address:	
	Description of contract:	
	Contract Start date:	
	Contract completion date:	
	Estimated contract value:	
6.2	Where you intend to sub-contract a proportion of the contract, please demonstrate how you have previously maintained healthy supply chains with your sub-contractor(s) Evidence should include, but is not limited to, details of your supply chain management tracking systems to ensure performance of the contract and including prompt payment or membership of the UK Prompt Payment Code (or equivalent schemes in other countries)	
6.3	If you cannot provide at least one example for questions 6.1, in no more than 500 words please provide an explanation for this e.g. your organisation is a new start-up or you have provided services in the past but not under a contract.	

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Section 7	Modern Slavery Act 2015: Requirements under Modern Slavery Act 2015	
7.1	Are you a relevant commercial organisation as defined by section 54 ("Transparency in supply chains etc.") of the Modern Slavery Act 2015 ("the Act")?	Yes or No
7.2	If you have answered yes to question 7.1 are you compliant with the annual reporting requirements contained within Section 54 of the Act 2015?	Yes or No

8. Additional Questions

Suppliers who self-certify that they meet the requirements to these additional questions will be required to provide evidence of this if they are successful at contract award stage.

Section 8	Additional Questions	
8.1	**Insurance**	
a.	Please self-certify whether you already have, or can commit to obtain, prior to the commencement of the contract, the levels of insurance cover indicated below:	
	Employers (Compulsory) Liability Insurance (Minimum £5 million)	Yes or No
	Public Liability Insurance (Minimum £5 million)	
	Professional Indemnity Insurance (Minimum £1 million)	
	***It is a legal requirement that all companies hold Employer's (Compulsory) Liability Insurance of £5million as a minimum. Please note that this requirement is not applicable to Sole Traders.**	

8.2	Project Specific Mandatory Requirements	Is this project specific mandatory requirement met? Please indicate your response below.
a.	The Supplier and its staff must hold accounting qualifications from a recognised accounting body within the UK.	Yes or No

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8.3	Financial Standing	
a.	***Annual Turnover –*** to assist with the financial checking process please provide the latest published annual turnover figure of your organisation below:	
	Financial Accounting Year:	
	Turnover Value:	
b.	You should be aware that the Council uses a credit reference and financial status records agency. It is Council policy to check the financial status and stability of Suppliers prior to contract inception, where appropriate. You should be aware that the Council may take up financial checks just before award of contract. In addition to this financial check, further tests may be conducted which may include a review of current assets against liabilities, profitability over the previous four years, size of any long term liabilities (particularly where these are financed by external bank loans), the structure of the company and whether it is or could be supported by a Parent Company, the value of current reserves, whether the Supplier is long-established, plus consideration of the size of the contract against the organisation's turnover.	
	Please confirm that you have read and understood the above text:	Yes or No

8.4	Electronic Ordering and Invoicing
a.	Your company will be required to work with the Council by receiving electronic purchase orders and submitting invoices electronically to the Council. The successful supplier will be expected to join the Council's electronic P2P system. The successful supplier will be required to register their company details on the site but there is no cost to joining. The successful supplier will be able to receive electronic purchase orders (PO) from the Council by e-mail and will be expected to submit electronic invoices and credits (in PDF format) to the Council. Suppliers will be required to follow the Council's 'Good Invoicing Practice Guide' when submitting invoices and credit notes to the Council. This guide can be found on the Council's website and explains what the Council expects to see on any invoice that is submitted from your company. Where relevant, the successful supplier will be expected to provide data on goods or services to the Council so that a catalogue can be built. Where it is also deemed appropriate, the Council may also want to integrate with your transactional website through our P2P system.

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	Please can you confirm that you are willing to work with the Council including as a minimum receiving electronic Purchase Orders and invoicing the Council electronically:	Yes or No

8.5	Information Governance and Information Security	
a.	Please confirm that you commit to the Authority's Information Governance and Information Security standards outlined in the two policies 'Information Governance Policy' and 'Information Security Policy' that can be found by following this link: https://www.bcpcouncil.gov.uk/About-BCP-Council/Privacy/Privacy.aspx or are able to demonstrate to the Council's satisfaction the ability to comply with the requirements outlined in these policies. You may be excluded if you are unable to demonstrate to the Council's satisfaction compliance with the requirements outlined in these policies.	Yes or No

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8.6	Compliance with Equality Legislation	
Suppliers who self-certify that they meet the equality and diversity requirements below will be required to provide evidence of this if they are successful at contract award stage. Please indicate your answer by marking “Yes or “No” in the relevant boxes.		
a.	Please self-certify whether, as an Employer, you meet the requirements of the positive equality duties in relation to the Equalities Act 2010* and you have your own relevant policies / literature If you do not have your own policies / literature then please confirm that you commit to the Authority’s standards outlined in its Policy document ‘Equality and Diversity Policy’ https://www.bcpcouncil.gov.uk/About-the-council/Equality-diversity-and-inclusion/Our-commitment-to-equality-diversity-and-inclusion.aspx * It is a legal requirement that applies to both public and private procurement.	Yes or No
Please confirm whether the below circumstances apply to your company (For organisations working outside of the UK, please refer to equivalent legislation in the country that you are located):		
b.	In the last three years, has any finding of unlawful discrimination been made against your organisation by an Employment Tribunal, an Employment Appeal Tribunal or any other court (or in comparable proceedings in any jurisdiction other than the UK)?	Yes or No
c.	In the last three years, has your organisation had a complaint upheld following an investigation by the Equality and Human Rights Commission or its predecessors (or a comparable body in any jurisdiction other than the UK), on grounds of alleged unlawful discrimination?	Yes or No
If you have answered “yes” to one or both questions 8.6b and 8.6c, please provide, as a separate Appendix, a summary of the nature of the investigation and an explanation of the outcome of the investigation to date. If the investigation upheld the complaint against your organisation, please use the Appendix to explain what action (if any) you have taken to prevent unlawful discrimination from reoccurring. You may be excluded if you are unable to demonstrate to the Council’s satisfaction that appropriate remedial action has been taken to prevent similar unlawful discrimination reoccurring.		
d.	If you use sub-contractors, do you have processes in place to check whether any of the above circumstances apply to these other organisations in order to ensure sub-contractors compliance?	Yes / No / N/A

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8.7	Environmental Management	
a.	Has your organisation been convicted of breaching environmental legislation, or had any notice served upon it, in the last three years by any environmental regulator or authority (including local authority)? If your answer to this question is “Yes”, please provide details in a separate Appendix of the conviction or notice and details of any remedial action or changes you have made as a result of conviction or notices served. The Council will not select bidder(s) that have been prosecuted or served notice under environmental legislation in the last 3 years, unless the Council is satisfied that appropriate remedial action has been taken to prevent future occurrences/breaches.	Yes or No
b.	If you use sub-contractors, do you have processes in place to check whether any of the above circumstances apply to these other organisations in order to ensure sub-contractors compliance?	Yes / No / N/A

8.8	Health and Safety	
a.	Please self-certify that your organisation has a Health and Safety Policy that complies with current legislative requirements.	Yes or No
b.	Has your organisation or any of its Directors or Executive Officers been in receipt of enforcement/remedial orders in relation to the Health and Safety Executive (or equivalent body) in the last 3 years? If your answer to this question was “Yes”, please provide details in a separate Appendix of any enforcement/remedial orders served and give details of any remedial action or changes to procedures you have made as a result. The Council will exclude bidder(s) that have been in receipt of enforcement/remedial action orders unless the bidder(s) can demonstrate to the Council’s satisfaction that appropriate remedial action has been taken to prevent future occurrences or breaches.	Yes or No
c.	If you use sub-contractors, do you have processes in place to check whether any of the above circumstances apply to these other organisations in order to ensure sub-contractors compliance?	Yes / No / N/A

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