**Dated 2018**

**(1) THE COUNCIL OF THE CITY OF STOKE-ON-TRENT**

**and**

1. **[NAME OF SERVICE PROVIDER]**

**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**DEED OF AGREEMENT**

**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**for the provision of**

**[ type of service ]**

Fiona M Ledden

Assistant Director – Governance

Stoke-on-Trent City Council

Civic Centre

Glebe Street

Stoke-on-Trent

ST4 1HH

**THIS AGREEMENT** is made as a Deed on the day of 2018

**BETWEEN**

**(1) THE COUNCIL OF THE CITY OF STOKE-ON-TRENT** of Civic Centre Glebe Street Stoke-on-Trent ST4 1HH (hereinafter referred to as “the Council”); and

**(2) [NAME OF SERVICE PROVIDER]** whose [registered] place of business is situated at [address (Company No/Charity Registration No)] (hereinafter referred to as “the Service Provider”)

**each a “Party” and together the “Parties”**

**WHEREAS**

(A) The Council wishes to enter into this Agreement with the Service Provider for the provision of the Service in accordance with the terms and conditions set out in this Agreement and as is more particularly described in the Specification and the Service Provider’s Tender Response Documentation

(B) The following documents are annexed and incorporated into this Agreement

Appendix 1 – the Specification

Appendix 2 – the Performance Management Framework

Appendix 3 – Service Provider’s Tender Response Documentation

Appendix 4 – Processing, Personal Data and Data Subjects Details

Appendix 5 – Pricing Schedule

**NOW IT IS HEREBY AGREED** as follows:-

1. **Definitions and Interpretation**
   1. In this Agreement unless the context otherwise requires the following words and expressions shall have the meaning given to them below:

“**Agreement**” means this agreement between the Council and the Service Provider consisting of these clauses and any attached Appendices

“**Approval**” means the written consent of the Council

**“Charges**” means the charges which shall become due and payable by the Council to the Service Provider in respect of the proper performance of the Services in accordance with the provisions of this Agreement, [being an aggregate total amount of £X] [or] [as such charges are set out in Appendix 5] .

“**Commencement Date**” means [1 July 2018]

**“Core Service Completion Date”** means [30 June 2021]

**“Pilot Completion Date”** means [30 June 2019]

“**Confidential Information**” means any information which has been designated as confidential by either Party in writing or that ought to be considered as confidential (however it is conveyed or on whatever media it is stored) including information which relates to the business, affairs, properties, assets, trading practices, services, developments, trade secrets, Intellectual Property Rights, know-how, personnel, customers and suppliers of either Party all personal data and sensitive personal data within the meaning of the Data Protection Act 1998 and commercially sensitive information”

“**Core Service**” means the core service to be provided by the Service Provider as defined in paragraph 1.1 of the Specification

“**Data Protection Laws**” means (i) unless and until the GDPR is no longer directly applicable in the UK, the General Data Protection Regulation (*(EU) 2016/679*) and any national implementing laws, regulations and secondary legislation, as amended or updated from time to time, in the UK and then (ii) any successor legislation to the GDPR or the Data Protection Act 1998

“**DBS**” means the Disclosure and Barring Service which was established under the Protection of Freedoms Act 2012

“**Default Notice**” means a notice which sets out the nature of the Service Provider Default committed, whether or not it is a Serious Default what remedial action is required and the timescale within which the remedial action must take place

“**Dispute Resolution Procedure**” means the dispute resolution procedure described in clause 27

“**Employee**” means all persons employed by the Service Provider together with the Service Provider’s servants, agents and Sub-Contractors

“**Employee Liability Information**” means the information that a transferor is obliged to notify to a transferee under Regulation 11(2) of TUPE:

(a)the identity and age of the Employee; and

(b) the Employee's written statement of employment particulars (as required under section 1 of the Employment Rights Act 1996); and

(c) information about any disciplinary action taken against the Employee and any grievances raised by the Employee, where the Employment Act 2002 (Dispute Resolution) Regulations 2004 (SI 2004/752) and/or a Code of Practice issued under Part IV of the Trade Union and Labour Relations (Consolidation) Act 1992 relating exclusively or primarily to the resolution of disputes applied, within the previous two years; and

(d) information about any court or tribunal case, claim or action either brought by the Employee against the transferor within the previous two years or where the transferor has reasonable grounds to believe that such action may be brought against the transferee arising out of the Employee's employment with the transferor; and

(e) information about any collective agreement that will have effect after the Service Transfer Date in relation to the Employee under regulation 5(a) of TUPE

“**Employment Liabilities**” means all claims, including claims for redundancy payments, unlawful deduction of wages, unfair, wrongful or constructive dismissal compensation, compensation for sex, race or disability discrimination, claims for equal pay, compensation for less favourable treatment of part-time workers, and any claims (whether in tort, contract, statute or otherwise), demands, actions, proceedings and any award, compensation, damages, tribunal awards, fine, loss, order, penalty, disbursement, payment made by way of settlement and costs and expenses reasonably incurred in connection with a claim or investigation (including any investigation by the Equal Opportunities Commission, the Disability Rights Commission, or the Commission for Racial Equality or other enforcement, regulatory or supervisory body), and of implementing any requirements which may arise from such investigation, and any legal costs and expenses

“**FOIA**” means the Freedom of Information Act 2000 and any subordinate legislation made under this Act from time to time together with any guidance and/or codes of practice issued by the Information Commissioner in relation to such legislation

“**Information**” has the same meaning as provided under section 84 of the Freedom of Information Act 2000

“**Intellectual Property Rights**” means patents, inventions, trade marks, service marks, logos, design rights (whether registerable or otherwise) and applications for any of the foregoing; copyright, database rights, domain names, trade or business names, moral rights, and other similar rights or obligations whether registerable or not in any country (including but not limited to the United Kingdom) and the right to sue for passing off

“**Mobilisation Date**” means [to be confirmed]

“**Mobilisation Period**” means the period from the Mobilisation Date to the Commencement Date

“**Month**” means calendar month

“**Pilot**” means the pilot service required by the Council defined in paragraph 1.1 of the Specification

“**Pricing Schedule**” means the document at Appendix 5

“**Processing, Personal Data and Data Subjects Details**” means the document at Appendix 4

“**Relevant Employees**” means those Employees whose contracts of employment transfer with effect from the Service Transfer Date to the Council or a Replacement Provider by virtue of the application of TUPE

“**Relevant Transfer**” means a relevant transfer for the purposes of TUPE

“**Replacement Provider**” means any third party provider appointed by the Council from time to time to provide any services which are substantially similar to the Service and which the Council receives in substitution for any part of the Service following the expiry, termination or partial termination of the Agreement

“**Serious Default**” means a Service Provider Default which either (a) the Service Provider commits on two or more occasions during any consecutive period of 6 months even if the Service Provider rectifies the Service Provider Default each time; or which (b) amounts to a material breach by the Service Provider of its obligations under this Agreement; or which (c) in the Council’s reasonable opinion materially prejudices the health, safety and/or welfare of a Service User

“**Services**” means the services to be provided consisting of the Core Service and the Pilot Service more particularly described in the Specification attached hereto (together with the proposals made by the Service Provider in Appendix 3, which shall take effect as contractual obligations upon the Service Provider)

“**Service Provider Default**” means any breach of the Service Provider’s obligations (including but not limited to fundamental breach or breach of a fundamental term) or any default, act, omission, negligence or statement of the Service Provider, its employees, agents or Sub-contractors in connection with or in relation to the subject matter of the Agreement and in respect of which the Service Provider is liable to the Council

“**Service Provider’s Final Staff List**” means the list of all the Service Provider’s and Sub-Contractor's personnel engaged in, or wholly or mainly assigned to, the provision of the Services or any part of the Services at the Service Transfer Date

“**Service Provider's Provisional Staff List**” means the list prepared and updated by the Service Provider of all the Service Provider's and Sub-Contractor's personnel engaged in, or wholly or mainly assigned to, the provision of the Services or any part of the Services at the date of the preparation of the list

“**Service Transfer Date**” means the date on which the Service (or any part of the Service), transfers from the Service Provider to the Council or any Replacement Provider

“**Service User**” means a person in respect of whom the Service is provided

“**Specification**” means the requirements specification for the provision of the Service attached to this Agreement at Appendix 1

“**Staffing Information**” means in relation to all persons detailed on the Service Provider's Provisional Staff List, in an anonymised format, such information as the Council may reasonably request including the Employee Liability Information and details of whether the personnel are employees, workers, self-employed, contractors or consultants, agency workers or otherwise, and the amount of time spent on the provision of the Services

“**Sub-Contractor**” means the contractors or providers engaged by the Service Provider to provide services to, for or on behalf of the Service Provider for the purposes of providing the Service to the Council

“**TUPE**” the Transfer of Undertakings (Protection of Employment) Regulations 2006 (SI 2006/246) or any amendment or replacement thereof

“**Working Day**” means a day (other than a Saturday or Sunday) on which banks are open for domestic business

* 1. References to numbered clauses or appendices are to the relevant numbered clauses or appendices in this Agreement; references to paragraphs are to paragraphs of the relevant Appendix.
  2. The headings are included for ease of reference only and shall not affect the interpretation or construction of this Agreement;
  3. References to the singular shall include the plural and references to the masculine shall include the feminine and the neuter and vice versa in each case;
  4. References to a statute, statutory instrument, regulation, order or quality standard shall be construed as a reference to such statute, statutory instrument, regulation, order or quality standard as amended or re-enacted or updated or superseded from time to time;
  5. References to any person shall include natural persons and partnerships, firms and other incorporated bodies and all other legal persons of whatever kind and however constituted and their successors and permitted assignees or transferees;
  6. The words “include” “includes” and “including” shall be construed without limitation;
  7. No terms and conditions of the Service Provider whether stated on an invoice in a catalogue or other literature shall apply override or supersede any of the terms of this Agreement;
  8. The Appendices form part of this Agreement and shall have effect as if set out in full in the body of this Agreement.
  9. Where there is any conflict or inconsistency between the provisions of the Agreement, such conflict or inconsistency shall be resolved according to the following order of priority:
     1. the clauses of the Agreement, the Pricing Schedule and the Processing, Personal Data and Data Subjects Details;
     2. the Specification and Performance Management Framework;
     3. the Service Provider’s Tender Response Documentation.

1. **Contract Period**

2.1 This Agreement shall take effect on the Mobilisation Date. Delivery of the Service shall begin on the Commencement Date. Delivery of the Core Service shall expire on the Core Service Completion Date and the Pilot shall expire on the Pilot Completion Date unless it is otherwise terminated in accordance with this Agreement (“the Contract Period”).

* 1. The Council may extend the Contract Period for the Core Service for one additional period of one year’s duration subject to the Council giving no less than two Months notice in writing to the Service Provider prior to the Completion Date.
  2. The Council may extend the Contract Period for the Pilot for three additional periods of one year’s duration subject to the Council giving no less than two Months notice in writing to the Service Provider prior to the Completion Date.

1. **Council’s Obligations**
   1. Save as otherwise expressly provided the obligations of the Council under the Agreement are obligations of the Council in its capacity as a contracting party and nothing in the Agreement shall operate as an obligation upon or in any other way fetter or constrain the Council in any other capacity nor shall the exercise by the Council of its duties and powers in any other capacity lead to any liability under the Agreement (howsoever arising) on the part of the Council to the Service Provider.
2. **Service Provider’s Warranties and Due Diligence**
   1. The Service Provider warrants that it has had an opportunity to carry out a thorough due diligence exercise in relation to the Services. Save as expressly provided in the Specification, no representations, warranties or conditions are given or assumed by the Council in respect of any information which is provided to the Service Provider by the Council and any such representations, warranties or conditions are excluded, save to the extent that such exclusion is prohibited by law.
   2. The Service Provider warrants that it is capable of performing the Services in all respects in accordance with this Agreement. The Service Provider warrants and represents that all information contained in or provided with the Service Provider's tender remains true, accurate and not misleading as at the Commencement Date, save as may have been specifically disclosed in writing to the Council prior to execution of this Agreement.
3. **Supply of Services**

5.1 The Services are currently provided by a third party (“Existing Provider”) up to 30 June 2018. The Service Provider shall engage with the Existing Provider during the Mobilisation Period in order to ensure a smooth transition of the Services from the Existing Provider to the Service Provider.

* 1. The Service Provider shall provide the Services during the Contract Period in accordance with this Agreement and in accordance with all applicable laws.
  2. The Service Provider shall provide the Services with reasonable skill and care in accordance with the best practices prevailing in the industry-sector of which the Services form part.
  3. The Council shall have the power to inspect and examine the performance of the Services at any reasonable time provided that the Council gives reasonable notice to the Service Provider at any premises where any part of the Services are being performed.
  4. Timely provision of the Services shall be of the essence including commencing the provision of the Services within the time agreed or on a specified date.
  5. Without prejudice to the other rights and remedies available to the Council, the Council may require the Service Provider to reimburse the Council for all reasonable costs incurred by the Council which have arisen as a consequence of the Service Provider’s delay in the performance of its obligations under the Agreement (except where such delay is caused by the Council’s breach of this Agreement or negligence).
  6. Without prejudice to the other rights and remedies available to the Council, in the event that in the Council’s reasonably held opinion any part of the Services do not meet the requirements of this Agreement, the Council may (except where such non-compliance is caused by the Council’s breach of this Agreement or negligence) require the Service Provider at its own expense to re-schedule and carry out those Services in accordance with the requirements of the Agreement within such reasonable time as may be specified by the Council.
  7. The Service Provider acknowledges that the Council does not provide any guarantee or other assurance as to the volumes of Services which it will require from the Service Provider pursuant to this Agreement. The Service Provider further acknowledges that it is not appointed on an exclusive basis, and that nothing in this Agreement prevents the Council from appointing a third party to carry out services of a kind similar to or the same as the Services (or from carrying out such activities on an in-house basis).

1. **Manner of Carrying out the Services** 
   1. The Service Provider shall comply with all reasonable instructions given to it by the Council in relation to the performance of the Service.
   2. The Service Provider shall provide all equipment required for the proper performance of the Service, and warrants and represents that such equipment is fit for all the purposes for which it will be required.
   3. The Service Provider warrants and represents that any Employees assigned to the performance of the Services shall possess and exercise such qualifications, skill and experience as are necessary for the proper performance of the Service and, insofar as such persons were named in the Service Provider’s tender, it shall not remove or replace such persons without prior Approval. The Council shall have the right after consultation with the Service Provider to request the removal of any person engaged in the performance of the Services if in its reasonable opinion the performance or conduct of such person is or has been unsatisfactory.
   4. No enquiry inspection, approval, sanction, comment, consent, decision or instruction at any time made or given by or on behalf of the Council in relation to any equipment or any aspect of the Services shall operate to exclude or limit the obligation of the Service Provider to deliver the Services in accordance with this Agreement.
   5. The Service Provider shall not without prior Approval accept any trade, commission, discount, allowance, direct or indirect payment or other consideration from any third party in connection with the performance of the Services.
   6. In the event that the Service Provider receives any complaint whether written or oral in respect of the provision of the Services, whether such complaint is made by a Service User or by a member of the public the Service Provider shall immediately inform the Council of the particulars of the complaint and shall promptly provide the Council with a copy of the complaint if such complaint is received in writing.
2. **Disclosure and Barring Requirements**
   1. The Council requires that all Employees involved in providing the Services (or such of those Employees as the Council may specify) have had an enhanced DBS check within the last 3 years, and the Service Provider shall ensure that any such requirement is complied with. The Service Provider shall within 3 days of request forward documentary evidence of the requisite DBS checks to the Council.
   2. The Service Provider is to notify the Council of any incidents and resulting investigations that occur in respect of DBS issues.
   3. The Service Provider warrants that it has checked the status of its Employees with DBS [in respect of an enhanced check for regulated activity] in accordance with the Safeguarding Vulnerable Groups Act 2006 (“SVGA”) and warrants that no Barred persons (as defined in the SVGA) shall be engaged in the provision of the Services.
3. **Charges**
   1. In consideration of the proper performance of the Services by the Service Provider in accordance with the terms and conditions of this Agreement, the Council shall pay the Charges to the Service Provider subject to and in accordance with the following provisions of this Agreement.
   2. The Charges shall be the full and exclusive remuneration of the Service Provider in respect of the supply of the Services. Unless otherwise agreed in writing by the Council, the Charges shall include every cost and expense of the Service Provider directly or indirectly incurred in connection with the performance of the Services.
   3. The Service Provider will raise invoices quarterly in arrears. Invoices must include the Council’s purchase order number and a breakdown of the Services supplied in the invoice period together with any other supporting information required by the Council to verify their accuracy and validity.
   4. The Council shall pay undisputed invoices within 30 days of receipt of the invoice subject to receiving a satisfactory performance report in accordance with section 4.3 of the Specification.
   5. Where an invoice is disputed by the Council, it will make a payment equal to the sum not in dispute, and the dispute as to the sum that remains unpaid shall be referred to the dispute resolution procedure in clause 27 without undue delay for resolution.
   6. All amounts stated in this Agreement are exclusive of VAT which shall be charged at the prevailing rate. The Council shall, following the receipt of a valid VAT invoice, pay to the Service Provider a sum equal to the VAT chargeable in respect of the Services.
   7. Where an invoiced sum is in dispute pursuant to clause 8.5 the Service Provider shall not be permitted to suspend the supply of the Services, except if it has acquired the right to terminate this Agreement for a failure to pay undisputed sums pursuant to clause 23.4.
   8. If a payment of an undisputed amount is not made by the Council by the due date, then the Council shall pay the Service Provider interest at the interest rate specified in the Late Payment of Commercial Debts (Interest) Act 1998.
   9. Without prejudice to any other rights and remedies which it may have under this Agreement, the Council may:
      1. reduce payments proportionately in the event of unsatisfactory performance by the Service Provider; and
      2. if any sum of money is recoverable from or payable by the Service Provider under this Agreement (including any sum which the Service Provider is liable to pay to the Council in respect of any breach of this Agreement), unilaterally deduct that sum from any sum then due, or which may come due, to the Service Provider under this Agreement or under any other agreement or contract with the Council.
   10. The Service Provider shall make any payments due to the Council without any deduction whether by way of set-off, counterclaim, discount, abatement or otherwise, unless the Service Provider has a valid court order requiring an amount equal to such deduction to be paid by the Council to the Service Provider.
   11. Without prejudice to the provisions of clause 29, where the Service Provider enters into a Sub-Contract, the Service Provider shall include in that Sub-Contract:

(a) provisions having the same effect as clauses 8.4 and 8.5 of this Agreement; and

(b) a provision requiring the counterparty to that Sub-Contract to include in any Sub-Contract which it awards provisions having the same effect as clauses 8.4 and 8.5 of this Agreement.

In this clause 8.11, "Sub-Contract" means a contract between two or more suppliers, at any stage of remoteness from the Council in a subcontracting chain, made wholly or substantially for the purpose of performing (or contributing to the performance of) the Services.

* 1. Any overpayment by either Party shall be a sum of money recoverable by the Party who made the overpayment from the Party in receipt of the overpayment.
  2. The Service Provider shall not be eligible for any payments in respect of the Mobilisation Period.
  3. In the event that the Council elects to terminate this Agreement then the Council’s liability to remunerate the Service Provider shall be limited to such apportioned payment in respect of the remaining months of the term prior to such termination. For the avoidance of doubt the Service Provider shall not be entitled to any remuneration in respect of any period subsequent to the date of cessation of this Agreement should the cessation date be earlier than the Completion Date.

1. **Mistakes in Information**

The Service Provider shall be responsible for the accuracy of all documentation and information supplied to the Council by the Service Provider in connection with the provision of the Services and shall pay the Council any extra costs occasioned by any discrepancies errors or omissions therein.

1. **Conflicts of Interest**
   1. The Service Provider shall take appropriate steps to ensure that neither it nor any of its Employees are placed in a position where in the reasonable opinion of the Council there is or may be an actual conflict or a potential conflict between the pecuniary or personal interests of the Service Provider or such persons and the duties owed to the Council under the provisions of the Agreement. The Service Provider will disclose to the Council full particulars of any such conflict of interest which may arise.
   2. The provisions of this clause shall apply during the continuance of the Agreement and for a period of one year after its termination.
2. **Fraud**

The Service Provider shall take all reasonable steps in accordance with good industry practice to prevent any fraudulent activity by the Service Provider and its Employees (including its shareholders members and directors) and/or any of the Service Provider’s suppliers in connection with the receipt of monies from the Council. The Service Provider shall notify the Council immediately if it has reason to suspect that any fraud has occurred or is occurring or is likely to occur.

1. **Bribery**
   1. The following constitute “Prohibited Acts” under this clause 12:
      1. to directly offer, promise or give to any person working for or engaged by the Council a financial or other advantage to:

(a) induce that person to perform improperly a relevant f unction or activity; or

(b) reward that person for improper performance of a relevant function or activity.

* + 1. to directly or indirectly request, agree to receive, or accept any financial or other advantage as an inducement or a reward for improper performance of a relevant function or activity in connection with this Agreement.
    2. committing any offence:

(a) under the Bribery Act 2010;

(b) under legislation creating offences concerning fraudulent acts;

(c) at common law concerning fraudulent acts relating to this Agreement or any other contract with the Council; or

(d) defrauding attempting to defraud or conspiring to defraud the Council.

* 1. The Service Provider:
     1. shall not and shall procure that any Employees in connection with this Agreement shall not commit a Prohibited Act
     2. warrants, represents and undertakes that it is not aware of any financial or other advantage being given to any person working for or engaged by the Council or that an agreement has been reached to that effect in connection with the execution of this Agreement.
  2. The Service Provider shall have an anti-bribery policy (which shall be disclosed to the Council) to prevent any Employee from committing a Prohibited Act and shall enforce it where appropriate.
  3. If any breach of clause 12.2 is suspected or known the Service Provider must notify the Council immediately.
  4. If the Service Provider provides notice to the Council in accordance with clause 12.4 the Service Provider shall respond promptly to any enquiries made and cooperate with any investigation carried out by the Council and allow the Council access to audit books, records and any other relevant documentation. This obligation shall continue for a reasonable time after expiry or termination of this Agreement.
  5. The Council may terminate this Agreement by written notice with immediate effect if the Service Provider or its Employees (whether or not acting with the Service Provider’s knowledge) breaches clause 12.2.
  6. If this Agreement is terminated under clause 12.6 the Council may recover from the Service Provider the amount of any loss suffered by the Council resulting from the termination including the cost reasonably incurred by the Council of making other arrangements for the provision of the Services and any other loss sustained by the Council in consequence of any breach of this clause 12 whether or not this Agreement has been terminated.

1. **Discrimination and Equal Opportunities**
   1. The Service Provider shall not unlawfully discriminate either directly or indirectly on such grounds as race, colour, ethnic or national origin, disability, sex or sexual orientation, religion or belief, or age and without prejudice to the generality of the foregoing the Service Provider shall not unlawfully discriminate within the meaning and scope of equal opportunities legislation, which includes but is not limited to the Equality Act 2010, the Human Rights Act 1998 or other relevant legislation, any statutory modification or re‑enactment thereof.
   2. The Service Provider shall ensure that all Employees of the Service Provider and all suppliers and Sub-Contractors employed in the execution of the Agreement are aware of the provisions of clause 13.1.
2. **Health and Safety**
   1. The Service Provider shall comply with the requirements of the Health and Safety at Work etc. Act 1974 and any other acts, orders, regulations and codes of practice relating to health and safety which may apply to the Service Provider its Employees and other persons working on the premises in the performance of the Agreement.
   2. The Service Provider shall ensure that its health and safety policy statement (as required by the Health and Safety at Work etc Act 1974) is made available to the Council on request.
3. **Data Protection** 
   1. Both Parties will comply with all applicable requirements of the Data Protection Legislation. This clause 15 is in addition to, and does not relieve, remove or replace, a party’s obligations under the Data Protection Legislation.
   2. The parties acknowledge that for the purposes of the Data Protection Legislation, the Council is the data controller and the Service Provider is the data processor (where Data Controller and Data Processor have the meanings as defined in the Data Protection Legislation). Appendix 4 sets out the scope, nature and purpose of processing by the Service Provider, the duration of the processing and the types of personal data (as defined in the Data Protection Legislation, Personal Data) and categories of Data Subject.
   3. Without prejudice to the generality of clause 15.1, the Council will ensure that it has all necessary appropriate consents and notices in place to enable lawful transfer of the Personal Data to the Service Provider for the duration and purposes of this Agreement.
   4. Without prejudice to the generality of clause 15.1, the Service Provider shall, in relation to any Personal Data processed in connection with the performance by the Service Provider of its obligations under this Agreement:

**(a)**  process that Personal Data only on the written instructions of the Council unless the Service Provider is required by the laws of any member of the European Union or by the laws of the European Union applicable to the Service Provider to process Personal Data (**Applicable Laws**). Where the Service Provider is relying on laws of a member of the European Union or European Union law as the basis for processing Personal Data, the Service Provider shall promptly notify the Council of this before performing the processing required by the Applicable Laws unless those Applicable Laws prohibit the Service Provider from so notifying the Council;

**(b)**  ensure that it has in place appropriate technical and organisational measures, reviewed and approved by the Council, to protect against unauthorised or unlawful processing of Personal Data and against accidental loss or destruction of, or damage to, Personal Data, appropriate to the harm that might result from the unauthorised or unlawful processing or accidental loss, destruction or damage and the nature of the data to be protected, having regard to the state of technological development and the cost of implementing any measures (those measures may include, where appropriate, pseudonymising and encrypting Personal Data, ensuring confidentiality, integrity, availability and resilience of its systems and services, ensuring that availability of and access to Personal Data can be restored in a timely manner after an incident, and regularly assessing and evaluating the effectiveness of the technical and organisational measures adopted by it);

**(c)**  ensure that all personnel who have access to and/or process Personal Data are obliged to keep the Personal Data confidential; and

**(d)**  not transfer any Personal Data outside of the European Economic Area unless the prior written consent of the Council has been obtained and the following conditions are fulfilled:

**(i)**  the Council or the Service Provider has provided appropriate safeguards in relation to the transfer;

**(ii)**  the data subject has enforceable rights and effective legal remedies;

**(iii)**  the Service Provider complies with its obligations under the Data Protection Legislation by providing an adequate level of protection to any Personal Data that is transferred; and

**(iv)**  the Service Provider complies with reasonable instructions notified to it in advance by the Council with respect to the processing of the Personal Data;

**(e)**  assist the Council, at the Council’s cost, in responding to any request from a Data Subject and in ensuring compliance with its obligations under the Data Protection Legislation with respect to security, breach notifications, impact assessments and consultations with supervisory authorities or regulators;

**(f)**  notify the Council without undue delay on becoming aware of a Personal Data breach;

**(g)**  at the written direction of the Council, delete or return Personal Data and copies thereof to the Council on termination of the Agreement unless required by Applicable Law to store the Personal Data; and

**(h)**  maintain complete and accurate records and information to demonstrate its compliance with this clause 15 and allow for audits by the Customer or the Customer’s designated auditor

* 1. Either Party may, at any time on not less than 30 days’ notice, revise this clause 15 by replacing it with any applicable controller to processor standard clauses or similar terms forming party of an applicable certification scheme (which shall apply when replaced by attachment to this agreement).
  2. Without prejudice to clause 20.1, the Service Provider indemnifies the Council against any actions claims or losses incurred by the Council as a result of:

(a) the Service Provider’s breach of the Data Protection Laws or any provision of this Agreement relating to Personal Data; and

(b) any conduct of the Service Provider which causes the Council to breach the Data Protection Laws.

* 1. The provisions of this clause shall apply during the continuance of the Agreement and indefinitely after its expiry or termination.

1. **Freedom of Information**
   1. The Service Provider acknowledges that the Council is subject to the requirements of the FOIA and the Environmental Information Regulations 2004 (“EIR”) and shall assist and cooperate with the Council to enable the Council to comply with these Information disclosure requirements at no cost to the Council.
   2. The Council shall be responsible for determining at its absolute discretion whether Information is exempt from disclosure in accordance with the provisions of the FOIA or EIR.
   3. The Service Provider acknowledges that the Council may, acting in accordance with the Secretary of State’s Code of Practice on the discharge of public authorities' functions under Part 1 of FOIA (issued under section 45 of the FOIA, November 2004), be obliged under the FOIA or the Environmental Information Regulations to disclose Information:

(a) without consulting with the Service Provider; or

(b) following consultation with the Service Provider and having taken its views into account,

provided always that where clause 16.3 (a) applies the Council shall, in accordance with any recommendations of the Code, take reasonable steps, where appropriate, to give the Service Provider advance notice, or failing that, to draw the disclosure to the Service Provider's attention after any such disclosure.

1. **Confidentiality** 
   1. Each Party:-
2. shall treat all Confidential Information belonging to the other Party as confidential and safeguard it accordingly; and
3. shall not disclose any Confidential Information belonging to the other Party to any other person without the prior written consent of the other Party except to such persons and to such extent as may be necessary for the performance of the Agreement or except where disclosure is otherwise expressly permitted by the provisions of the Agreement
   1. Clause 17.1 shall not apply to any disclosure of information:
4. made by the Council under clause 16 above in response to requests subject to the FOIA and/or EIR;
5. required by any applicable law;
6. that is reasonably required by persons engaged by a Party in the performance of such Party's obligations under this Agreement;
7. where a Party can demonstrate that such information is already generally available and in the public domain otherwise than as a result of a breach of clause 17.1;
8. which is already lawfully in the possession of the receiving Party, prior to its disclosure by the disclosing Party;
9. by the Council to any other department, office or agency of the Government; or
10. by the Council relating to this Agreement and in respect of which the Service Provider has given its prior written consent to disclosure.
    1. Nothing in this clause shall prevent either Party from using any techniques, ideas or know-how gained during the performance of the Agreement in the course of its normal business to the extent that this does not result in a disclosure of Confidential Information or an infringement of Intellectual Property Rights.
    2. The Council shall be entitled to disclose to any Replacement Provider any Confidential Information of the Service Provider which relates to the performance of the Agreement by the Service Provider. In such circumstances the Council shall authorise the Replacement Provider to use such Confidential Information only for purposes relating to the performance of the Agreement and for no other purposes and shall take all reasonable steps to ensure that the Replacement Provider accepts an obligation of confidence.
    3. The Service Provider shall not without prior Approval:

(a) make any press announcements or publicise this Agreement or its contents in any way; or

(b) use the Council’s name or brand in any promotion or marketing or announcement of orders.

1. **The Counter-Terrorism and Security Act 2015**
   1. The Service Provider acknowledges that the Council has a duty under the Counter-Terrorism and Security Act 2015 (CTSA) to endeavour to prevent people from being drawn into terrorism. The Service Provider agrees to facilitate the Council’s compliance with this duty including by ensuring that its frontline staff:-
      1. understand what radicalisation means and why people may be vulnerable to being drawn into terrorism as a consequence of it;
      2. are aware of what is meant by the term “extremism” and the relationship between extremism and terrorism;
      3. know what measures are available to prevent people from becoming drawn into terrorism and how to challenge the extremist ideology that can be associated with it;
      4. obtain support for people who may be exploited by radicalising influences.

An explanation of the terms ‘radicalisation’ and ‘extremism’ and other details of what is expected under this clause can be found in the statutory guidance issued by Government under section 29 of the CTSA.

* 1. Under no circumstances shall the Service Provider permit any resources to which it is given access or use under this Agreement to be used to provide a platform for extremists or to disseminate extremist views.
  2. Where the Service Provider identifies or suspects that someone may be engaged in illegal terrorist related activity, it must refer such person or activity to the police.

1. **Intellectual Property Rights** 
   1. All Intellectual Property Rights created by the Service Provider or any Employee:

(a) in the course of performing the Services; or

(b) for the purpose of performing the Services,

shall vest in the Council on creation (“Foreground Intellectual Property Rights”).

* 1. Where, in connection with the provision of the Services by the Service Provider, the Service Provider uses Intellectual Property Rights which do not fall within clause 19.1 and which are owned by the Service Provider (“Background Intellectual Property”), the Service Provider shall grant to the Council a perpetual, irrevocable, non-exclusive, royalty-free licence to use, reproduce, adapt, maintain and support the Background Intellectual Property for all purposes which are reasonably required by the Council in order to enable the Council to take the full benefit of this Agreement including the Services. Such licence shall include the right to sub-license, transfer, novate or assign to other contracting authorities or to any other third party providing services to the Council.
  2. The Service Provider shall obtain Approval before using materials in relation to the performance of this Agreement which are or may be subject to any third party Intellectual Property Rights. The Service Provider shall procure that the owner of the rights grants to the Council a perpetual, irrevocable, non-exclusive, royalty-free licence to use, reproduce, adapt, maintain and support such Intellectual Property Rights. Such licence shall include the right to sub-license, transfer, novate or assign to other contracting authorities or to any other third party providing services to the Council.
  3. It is a condition of this Agreement that the Services will not infringe any Intellectual Property Rights of any third party and the Service Provider shall during and after the Contract Period on written demand indemnify and keep indemnified the Council against all actions, proceedings, costs, claims, demands, liabilities, losses and expenses whatsoever which the Council may suffer or incur as a result of or in connection with any breach of this clause.
  4. At the termination of the Agreement the Service Provider shall at the request of the Council immediately return to the Council all materials, work or records held including any back-up media.
  5. Where the Service Provider is provided with or permitted to access Intellectual Property Rights belonging to the Council, including any Foreground Intellectual Property Rights, those Intellectual Property Rights shall remain the property of the Council, and the Service Provider shall not use or disclose them without prior Approval except where necessary for the performance of this Agreement.

1. **Indemnity and Insurance** 
   1. Subject to clauses 20.2 and 21, the Service Provider shall indemnify the Council and keep the Council indemnified fully against all claims, proceedings, actions, damages, costs, expenses and any other liabilities which may arise out of, or in consequence of, the supply, or late or purported supply, of the Services or the performance or non-performance by the Service Provider of its obligations under this Agreement or the presence of the Service Provider or any Employees on Council premises, including (without limitation) in respect of any death or personal injury, loss of or damage to property, financial loss arising from any advice given or omitted to be given by the Service Provider, or any other loss which is caused directly or indirectly by any act or omission of the Service Provider.
   2. The Service Provider shall not be responsible for any injury, loss, damage, cost or expense if and to the extent that it is caused by the negligence or wilful misconduct of the Council or by breach by the Council of its obligations under this Agreement.
   3. Without prejudice to the foregoing the Service Provider shall maintain insurance with the following limits of indemnity for any one occurrence or series of occurrences arising out of any one event :-
      1. Employers’ Liability Insurance in respect of the Service Provider’s Employees in compliance with the Employers’ Liability (Compulsory Insurance) Act 1969 and any Order thereunder or amendment thereto to a limit of not less than five million pounds for each and every claim; and
      2. Public Liability Insurance in respect of any liability damage loss expense cost claim or proceedings in respect of personal injury to or death of any person (including any employee of the Council or the Service Provider) or injury or damage to any property arising out of or in connection with the performance of the Service for a limit of indemnity of not less than ten million pounds for each and every claim; and

Such insurance cover is to be maintained throughout the Contract Period with a well-established insurance provider or underwriter of good repute. The Service Provider shall promptly produce for inspection documentary evidence as and when required by the Council that the insurance so required is properly maintained.

* 1. The Service Provider shall immediately upon receipt of a claim made against it under this Agreement notify its insurer of the claim.
  2. The Service Provider shall give immediate written notice to the Council in the event of the cancellation or a material change in the terms of any of the required insurance policies.

1. **Limitation of Liability**
   1. Subject to clauses 21.2 and 21.5, neither the Council nor the Service Provider shall be liable to the other for:
      1. loss of profits, business, revenue or goodwill;
      2. loss of savings (whether anticipated or otherwise); or
      3. indirect or consequential loss or damage.
   2. For the purposes of clause 21.1.3, the following shall not be treated as indirect or consequential losses or damages:-
      1. any additional operational and/or administrative expenses arising from a Service Provider Default;
      2. any wasted expenditure or charges rendered unnecessary and/or incurred by the Council arising from a Service Provider Default;
      3. the additional cost of procuring replacement services for the remainder of the Contract Period following termination of this Agreement as a result of a Service Provider Default; and
      4. liabilities, costs and/or expenses (including legal expenses) incurred by the Council in connection with a claim from a third party arising out of a Service Provider Default.
   3. Subject to clause 21.5 below, the Council’s total aggregate liability in respect of all claims, losses or damages, whether arising from tort (including negligence), breach of contract or otherwise under or in connection with this Agreement (other than in respect of a failure to pay the Charges where they are properly due and payable, for which the Council shall remain fully liable), shall in no event exceed £10,000,000 (ten million pounds).
   4. Subject to clause 21.5 below, the Service Provider's total aggregate liability in respect of all claims, losses or damages, whether arising from tort (including negligence), breach of contract or otherwise under or in connection with this Agreement, shall in no event exceed £10,000,000 (ten million pounds) (“the Financial Cap”). Provided that if the Service Provider is required by this Agreement to maintain an insurance policy in respect of the liability in question and the proceeds of the policy in respect of the liability would have exceeded the Financial Cap, the Financial Cap shall be increased to reflect the value of the proceeds that would be payable under the insurance policy (and for these purposes it shall be assumed that the insurance policy has been properly maintained and complied with by the Service Provider and a claim properly made under it).
   5. Notwithstanding any other provision of this Agreement:
      1. neither Party limits or excludes its liability for (a) fraud or fraudulent misrepresentation; (b) death or personal injury caused by its negligence; (c) breach of any obligation as to title implied by statute; or (d) any other act or omission for which that Party is not, under applicable law, permitted to limit or exclude its liability; and
      2. the Service Provider’s liability in respect of the indemnities given by the Service Provider in clauses 15.5 (data protection indemnity), 19.4 (intellectual property rights indemnity) and 28.9 (TUPE) is unlimited.
2. **Default Notices**
   1. If in its reasonable opinion the Council believes that there has been a Service Provider Default then the Council shall be entitled to serve a Default Notice upon the Service Provider. This will be without prejudice to any other right or remedy which may be available to the Council either under this Agreement or at law.
   2. When the Council serves a Default Notice the Service Provider shall take such remedial action as is specified in the Default Notice within the timescale set out and at the Service Provider’s sole cost.
   3. If the Service Provider fails to complete the remedial action within the specified time detailed in the Default Notice then the Council shall be entitled to:
3. apply clause 23.1(a); or
4. suspend the Service until the Service Provider has satisfactorily completed the remedial action. If within a period of 10 Working Days from the date of the Service being suspended, the Service Provider has still failed to complete the remedial action, then the Council shall be entitled to apply clause 23.1(b).
5. **Termination on Default, Change of Control & Insolvency**
   1. The Council may terminate this Agreement in whole or in part by notice in writing with immediate effect if:
      1. the Service Provider fails to remedy a Service Provider Default in accordance with a Default Notice served under clause 22;
      2. the Service Provider fails to remedy a Service Provider Default following a period of suspension under clause 22.3(b);
      3. the Service Provider commits a Service Provider Default which is not capable of being remedied;
      4. the Service Provider commits a Serious Default;
      5. the Service Provider suspends, or threatens to suspend, payment of its debts or is unable to pay its debts as they fall due or admits inability to pay its debts or (being a company or limited liability partnership) is deemed unable to pay its debts within the meaning of section 123 of the Insolvency Act 1986 OR (being an individual) is deemed either unable to pay its debts or as having no reasonable prospect of so doing, in either case, within the meaning of section 268 of the Insolvency Act 1986 OR (being a partnership) has any partner to whom any of the foregoing apply;
      6. the Service Provider commences negotiations with all or any class of its creditors with a view to rescheduling any of its debts, or makes a proposal for or enters into any compromise or arrangement with its creditors (otherwise than for the purpose of solvent amalgamation or reconstruction);
      7. a petition is filed, a notice is given, a resolution is passed, or an order is made, for or in connection with the winding up of the Service Provider (being a company) (otherwise than for the purpose of solvent amalgamation or reconstruction);
      8. an application is made to court, or an order is made, for the appointment of an administrator, or if a notice of intention to appoint an administrator is given or if an administrator is appointed, over the Service Provider (being a company);
      9. the holder of a qualifying floating charge over the assets of the Service Provider (being a company) has become entitled to appoint or has appointed an administrative receiver;
      10. a person becomes entitled to appoint a receiver over the assets of the Service Provider or a receiver is appointed over the assets of the Service Provider;
      11. the Service Provider (being an individual) is the subject of a bankruptcy petition or order;
      12. a creditor or encumbrancer of the Service Provider attaches or takes possession of, or a distress, execution, sequestration or other such process is levied or enforced on or sued against, the whole or any part of the Service Provider’s assets and such attachment or process is not discharged within 14 days;
      13. the Service Provider suspends or ceases, or threatens to suspend or cease, carrying on all or a substantial part of its business;
      14. any event occurs, or proceeding is taken, with respect to the Service Provider in any jurisdiction to which it is subject that has an effect equivalent or similar to any of the events mentioned in clause 23 e) to m) above;
      15. the Service Provider (being an individual) dies or, by reason of illness or incapacity (whether mental or physical), is incapable of managing his or her own affairs or becomes a patient under any mental health legislation;
      16. if there is a change of control (as defined in section 574 of the Capital Allowances Act 2001) of the Service Provider to which the Council reasonably objects; or
      17. if any of the provisions of Regulation 73(1) of the Public Contracts Regulations 2015 apply.
   2. If this Agreement is terminated by the Council for cause (including under any of the provisions of clause 23.1) such termination shall be at no loss or cost to the Council and the Service Provider hereby indemnifies the Council against any such losses or costs which the Council may suffer as a result of any such termination for cause.
   3. The Council may terminate this Agreement by notice in writing with immediate effect where an award for ineffectiveness of this Agreement is made under the Public Contracts Regulations 2015 or if legal proceedings seeking such an award are brought against the Council and the Council considers that such an award will be made.
   4. If the Council does not make payment of undisputed sums due under this Agreement within 60 days of the due date, the Service Provider may serve notice on the Council requiring the Council to make payment, and if the Council fails to make payment within 30 days of such notice, the Service Provider may terminate this Agreement with immediate effect on written notice to the Council.
6. **General Termination**
   1. The Council may terminate this Agreement for convenience at any time on giving not less than three Months’ notice to the Service Provider.
   2. Should the Service be de-commissioned by the Government or should the Council’s funding for the Service be withdrawn, the Council reserves the right to terminate this Agreement by written notice with immediate effect.
7. **Consequences of Termination**
   1. At the termination of this Agreement (howsoever arising) the Service Provider shall at its own cost provide assistance to the Council and any Replacement Provider appointed by the Council to continue or take over the performance of the Agreement in order to ensure an effective handover of the Services.
   2. Without prejudice to the generality of clause 25.1, upon the termination of this Agreement (howsoever arising) the Service Provider shall forthwith deliver to the Council upon request all the Council’s property (including but not limited to materials, documents, records, information and access keys) relating to this Agreement in its possession or under its control or in the possession or under the control of any permitted suppliers or sub-contractors and, in default of compliance with this clause, the Council may recover possession thereof and the Service Provider grants licence to the Council or its appointed agents to enter (for the purposes of such recovery) any premises of the Service Provider or its permitted suppliers or Sub-Contractors where any such items may be held.
   3. Termination of this Agreement shall be without prejudice to any rights remedies or obligations accrued under this Agreement prior to termination or expiration and nothing in the Agreement shall prejudice the right of either Party to recover any amount outstanding at such termination or expiry.
   4. The provisions of the following clauses shall survive termination or expiry of this Agreement (in addition to those provisions which are, elsewhere in this Agreement, stated to continue following termination): clause 15 (Data Protection), clause 16 (Freedom of Information), clause 17 (Confidentiality), clause 20 (Indemnity and Insurance), clause 21 (Limitation of Liability), clause 23 (Termination for Breach), clause 28 (TUPE), clause 30 (Audit), and this clause 25 (Consequences of Termination).
8. **Force Majeure**
   1. In the event that the performance of any obligation under this Agreement is prevented restricted or interfered with by reason of circumstances including but not limited to act of god, fire, flood, civil disobedience, governmental acts or regulation or industrial action (other than by the Parties employees) beyond the control of the Party obliged to perform it the Party so affected (upon giving prompt notice in writing to the other Party) shall be excused from the performance to the extent of the prevention restriction or interference but the Party so affected shall use all reasonable endeavours to avoid or remove the cause of non-performance and shall continue performance under this Agreement with the utmost dispatch whenever such cause is removed or diminished.
   2. Neither Party shall be liable to the other Party for any delay in or failure to perform its obligations under the Agreement if such delay or failure results from a Force Majeure event. If any such event prevents either Party from performing all of its obligations under the Agreement for a period in excess of six Months from the first day of the Force Majeure event either Party may terminate the Agreement by notice in writing with immediate effect.
9. **Dispute Resolution**
   1. In the event of any dispute between the Parties arising out of or in connection with the Agreement, either Party may call a meeting of the Parties by service of not less than 5 days' written notice, and each Party agrees to procure that its representative together with any other Employee requested to attend by the Council (if any) shall attend any such meeting. The members of the meeting shall use their reasonable endeavours to resolve the dispute.
   2. If any dispute referred to a meeting pursuant to clause 27.1 is not resolved at that meeting then either Party, by notice in writing to the other, may refer the dispute to director-level for resolution, and the Parties’ respective directors bearing managerial responsibility for this Agreement shall co-operate in good faith to resolve the dispute as amicably as possible within 28 days of service of such notice (and, for these purposes, an Assistant Director of the Council shall be treated as a director).
   3. If the directors fail to resolve the dispute in the allotted time, then the Dispute Resolution Procedure shall be deemed exhausted and either Party may commence or continue court proceedings in respect of such unresolved dispute or issue.
   4. Nothing in this clause 27 shall prevent the Parties from seeking from any court of the competent jurisdiction an interim order restraining the other Party from doing any act or compelling the other Party to do any act.
10. **TUPE**
    1. Depending on the circumstances, it is possible that at some point subsequent to the commencement of this Agreement the identity of the provider of the Services (or any part of the Services) may change (whether as a result of termination of this Agreement, or part or otherwise) resulting in a transfer of the Services in whole or in part (“Service Transfer”). If a Service Transfer is a Relevant Transfer then the Council or Replacement Provider will inherit liabilities in respect of the Relevant Employees.
    2. The Service Provider shall and shall procure that any Sub-Contractor shall on receiving notice of termination of this Agreement or otherwise, on request from the Council and at such times as required by TUPE, provide in respect of any person engaged or employed by the Service Provider or any Sub-Contractor in the provision of the Services, the Service Provider's Provisional Staff List and the Staffing Information together with any additional information required by the Council, including information as to the application of TUPE to the Employees. The Service Provider shall notify the Council of any material changes to this information as and when they occur.
    3. In the event of a Service Transfer being a Relevant Transfer, the Service Provider shall and shall procure that any Sub-Contractor shall, at least 28 days prior to the Service Transfer Date, prepare and provide to the Council and/or the Replacement Provider, the Service Provider's Final Staff List, which shall be complete and accurate in all material respects. The Service Provider's Final Staff List shall identify which of the Service Provider's and Sub-Contractor's personnel named are Relevant Employees.
    4. The Council shall be permitted to use and disclose the Service Provider's Provisional Staff List, the Service Provider's Final Staff List and the Staffing Information (the “TUPE Information”) for informing any tenderer or other prospective Replacement Provider for any services that are substantially the same type of service as (or any part of) the Service.
    5. The Service Provider warrants that the TUPE Information will be true and accurate in all material respects and that no persons are employed or engaged in the provision of the Service other than those included on the Service Provider's Final Staff List.
    6. The Service Provider shall and shall procure that any Sub-Contractor shall ensure at all times that it has the right to provide the TUPE Information under Data Protection Laws.
    7. Any change to the TUPE Information which would increase the total employment costs of the staff in the six months prior to termination of this Agreement shall not (so far as reasonably practicable) take place without the Council’s prior written consent, unless such changes are required by law. The Service Provider shall supply to the Council full particulars of such proposed changes and the Council shall be afforded reasonable time to consider them.
    8. In the six months prior to termination of this Agreement, the Service Provider shall not and shall procure that any Sub-Contractor shall not materially increase or decrease the total number of staff listed on the Service Provider's Provisional Staff List, their remuneration, or make any other change in the terms and conditions of those employees without the Council’s prior written consent.
    9. The Service Provider shall indemnify and keep indemnified in full the Council and at the Council's request each and every Replacement Provider against all Employment Liabilities relating to:

(a) any person who is or has been employed or engaged by the Service Provider or any Sub-Contractor in connection with the provision of any of the Services; or

(b) any trade union or staff association or employee representative (where such claim arises as a result of any act, fault or omission of the Service Provider and/or any Sub-Contractor),

arising from or connected with any failure by the Service Provider and/or any Sub-Contractor to comply with any legal obligation, whether under regulation 13, 13A or 14 of TUPE or any award of compensation under regulation 15 of TUPE, under the Acquired Rights Directive or otherwise and, whether any such claim arises or has its origin before or after the Service Transfer Date.

* 1. The transferor and transferee (as applicable in the circumstances under TUPE) shall co-operate to ensure that any requirement to inform and consult with the Employees and or Employee representatives in relation to any Relevant Transfer as a consequence of a Service Transfer will be fulfilled.
  2. The Parties agree that the Contracts (Rights of Third Parties) Act 1999 shall apply to clauses 28.2 to 28.10, to the extent necessary to ensure that any Replacement Provider shall have the right to enforce the obligations owed to, and indemnities given to, the Replacement Provider by the Service Provider or the Council in its own right under section 1(1) of the Contracts (Rights of Third Parties) Act 1999.
  3. Despite clause 28.11, it is expressly agreed that the Parties may by agreement rescind or vary any terms of this Agreement without the consent of any other person who has the right to enforce its terms or the term in question despite that such rescission or variation may extinguish or alter that person's entitlement under that right.

1. **Assignment and Sub-Contracting** 
   1. Subject to clause 29.3, the Service Provider shall not assign, novate or otherwise transfer any benefit, duty or obligation under this Agreement or any right or remedy available to it hereunder.
   2. The Service Provider shall not sub-contract to or allow any other person to perform any part of the Services without obtaining prior Approval. Any such sub-contracting shall not in any way relieve the Service Provider from its liabilities hereunder and the Service Provider shall be and shall remain fully responsible in respect of the Service notwithstanding such sub-contracting.
   3. If the Service Provider has requested and obtained from the Council prior Approval, the Service Provider shall be entitled to novate this Agreement where:

(a) the specific change in contractor was provided for in the procurement process for the award of this Agreement;

(b) there has been a universal or partial succession into the position of the Service Provider, following a corporate restructuring, including takeover, merger, acquisition or insolvency, by another economic operator that meets the criteria for qualitative selection applied in the procurement process for the award of this Agreement.

* 1. The Council shall be entitled to novate this Agreement to any other body which substantially performs any of the functions that previously had been performed by the Council.

1. **Audit** 
   1. The Service Provider shall keep and maintain until six years after the Contract Period full and accurate records of the Agreement including the Services provided under it and all payments made by the Council. The Service Provider shall on request afford the Council or the Council’s representatives or any auditing body appointed by the Government such access to those records as may be required in connection with the Agreement.
2. **Notices**
   1. Any notice required or authorised to be given by either Party under this Agreement to the other Party shall be in writing and shall either be delivered personally or sent by pre-paid post or by electronic mail or facsimile transmission to the other Party at the address stated in this Agreement or such other address as may be specified by the Parties by notice to the other from time to time and shall be deemed duly served:
      1. in the case of a notice delivered personally at the time of delivery; or
      2. in the case of a notice sent by either first or second class pre-paid post three clear Working Days after being posted; or
      3. in the case of an electronic mail or facsimile transmission if sent during normal business hours then at the time of that transmission and if sent outside normal business hours then on the next following Working Day provided (in each case) that a confirmatory copy is sent by pre-paid post or by hand by the end of the next Working Day

Both Parties shall promptly notify the other upon any change of address or facsimile number occasioned during the Contract Period.

1. **Waiver**

The failure of either Party to insist upon strict performance of any provision of the Agreement or the failure of either Party to exercise any right or remedy shall not constitute a waiver of that right or remedy and shall not cause a diminution of the obligations established by the Agreement.

1. **Remedies Cumulative**

Except as otherwise expressly provided by the Agreement all remedies available to either Party for breach of the Agreement are cumulative and may be exercised concurrently or separately and the exercise of any one remedy shall not be deemed an election of such remedy to the exclusion of other remedies.

1. **Severability**
   1. If any provision of the Agreement is held invalid, illegal or unenforceable for any reason by any court of competent jurisdiction such provision shall be severed and the remainder of the provisions of the Agreement shall continue in full force and effect as if the Agreement had been executed with the invalid illegal or unenforceable provision eliminated.
   2. In the event of a holding of invalidity so fundamental as to prevent the accomplishment of the purpose of the Agreement the Parties shall immediately commence negotiations in good faith to remedy the invalidity.
2. **Miscellaneous**
   1. This Agreement constitutes the entire agreement between the Parties relating to the subject matter of the Agreement. The Agreement supersedes all prior negotiations representations and undertakings whether written or oral except that this clause shall not exclude liability in respect of any fraudulent misrepresentation.
   2. The Agreement shall be governed by and interpreted in accordance with English law and the Parties submit to the exclusive jurisdiction of the courts of England.
   3. The Service Provider shall not be relieved of its obligations to perform the Services in accordance with the terms of the Agreement as the result of a change of law which comes into effect after the Commencement Date.
   4. Nothing in this Agreement shall be construed as constituting a partnership or contract of employment between the Council and the Service Provider. Nothing in this Agreement shall be construed as constituting either Party as the agent of the other for any purpose whatsoever except as specified by the terms of this Agreement.
   5. Save to the extent expressly stated in this Agreement, nothing in this Agreement shall confer or purport to confer the right to enforce any term hereunder upon any person or legal entity that is not a Party to it and the Contracts (Rights of Third Parties) Act 1999 shall not apply.
   6. This Agreement shall not be varied except by instrument in writing signed by the authorised representatives of the Parties.
   7. This Agreement may be executed and delivered in any number of counterparts, each of which is an original and which, together, have the same effect as if each Party had signed the same document.

The Parties have executed and delivered this Agreement as a Deed on the date stated at the beginning of it.

THE CORPORATE SEAL of the )

**COUNCIL OF THE CITY OF** )

**STOKE-ON-TRENT** was hereunto )

affixed in the presence of:- )

Authorised Signatory )

print name:

Authorised Signatory )

print name:

|  |  |
| --- | --- |
| Executed by [**INSERT NAME OF SERVICE PROVIDER**] acting by a Director and the Company Secretary / two Directors of the company\*\* | )  )  Signature of Director ……………………………………………  Name of Director ………………………………………………… |
| Drafting Notes  \*\* delete options which are not applicable | Signature of Director/Company Secretary\*\* ……………………  Name of Director/Company Secretary\*\*………………………… |

|  |  |  |
| --- | --- | --- |
| Executed as a Deed for and on Behalf of [**INSERT NAME OF SERVICE PROVIDER**] acting by a Director | | )  )  Signature of Director …………………………………………  Name of Director ……………………………………………… |
| Signature of witness  Name of witness  Witness’ address  Witness’ occupation | ……………………………………………….  ……………………………………………….  ……………………………………………….  …………………………………………….. | |

**Appendix 1**

**The Specification**

**Appendix 2**

**Performance Management Framework**

**Appendix 3**

**Service Provider’s Tender Response Documentation**

**Appendix 4**

**Processing, Personal Data and Data Subjects**

**[this will be further tailored upon contract award]**

**PROCESSING BY THE PROVIDER**

**1.1**  **SCOPE**  - Children in care system and their carers

**1.2**  **NATURE** **AND** **PURPOSE OF PROCESSING**  - Statistical analysis to inform service provision and improve outcomes for children in the care system. Recording of care plans that arise and outcomes on the Council’s systems

**1.4**  **DURATION OF THE PROCESSING**  - The contract period is 1st July 2018 to 30th June 2021 plus an option to extend the contract to 30 June 2022.

The data will be retained by the Council in accordance with statutory requirements.

Case records for looked after children must be retained by the Council pursuant to the Care Planning, Placement and Case Review (England) Regulations 2010, s. 50.

Either

* Until the 75th anniversary of the child’s birth; or
* If the child dies before 18, for 15 years from the data of death

Case records for fostered children must be retained by the Council pursuant to the Fostering Services (England) Regulations 2011, s.32

* At least 10 years from the date on which their approval is terminated

Case records for children where an Adoption Order is made must be retained by the Council pursuant to the Disclosure of Adoption Information (Post-Commencement Adoptions) Regulations 2005, Reg 6

* At least 100 years from the date of the adoption order

Case Records for children accommodated in children’s homes must be retained in accordance with the Children’s Homes (England) regulations 2015, Reg 36

Either

* Until the 75th anniversary of the child’s birth; or
* If the child dies before age 18, for 15 years from the date of death

**2.**  **TYPES OF PERSONAL DATA**  - Child and Carers Details, i.e. Name, Address, Data of Birth, Care History

**3.**  **CATEGORIES OF DATA SUBJECT**  - Service Users