**Modern Slavery Self-Assessment Questionnaire:**

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| **Contact details** | | |
| Company Name: |  | |
| Address: |  | |
| Contact name: |  | |
| Phone: |  | |
| Email: |  | |
| Number of employees: |  | |
| Are you required to publish an annual modern slavery statement?  A commercial organisation is required to publish an annual statement if all the criteria below apply:   * it is a ‘body corporate’ or a partnership, wherever incorporated or formed * it carries on a business, or part of a business, in the UK * it supplies goods or services * it has an annual turnover of £36 million or more | | Yes  No |

\*Please note there will be an opportunity for any additional comments at the end. \*

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| 1) | Do you have a Modern Slavery policy? | Yes  No |
| *2i)* | Do you currently have a process to assure that human trafficking and slavery do not exist in your operations and supply chain? \*If no skip to Q3\* | Yes  No |
| *2ii)* | Please describe the process in (2i): |  |
| *Questions 3 to 7 inquire into five specific activities intended to help identify and mitigate the risk of slavery and human trafficking in your operations and your supply chain. Please answer, and describe your efforts to address, each question.* | | |
| 3) | Do you currently work with a 3rd party to identify the overall risks of slavery and human trafficking in your supply chain? This may include mapping your actual supply chain and looking into what countries you source from and what products or services you buy, and the risks related with sourcing from those countries or sourcing a specific product. | Yes  No |
| 4i) | Do you currently conduct independent, unannounced audits of your operations and suppliers? \*If no skip to question 5\* | Yes  No |
| 4ii) | Please detail audit frequency and your selection process.  (e.g. Random, risk based, routine) | Yes  No  N/A |
| 4iii) | Where applicable, does your auditing program include auditing personnel recruitment sources, including overseas labour brokers or overseas employment agencies providing imported labour? | Yes  No |
| 4iv) | Are your audits based around set company standards for your suppliers that include modern slavery clauses? | Yes  No |
| 4v) | Are there set consequences for non-compliance of said standards? | Yes  No |
| 4vi) | Do you have a process to follow in the event of non-compliance by a supplier to rectify the situation? | Yes  No |
| 5) | Do you require your direct suppliers to certify that all materials supplied were sourced, processed and manufactured in compliance with the human trafficking and slavery laws of the country or countries in which they operate? | Yes  No |
| 6i) | Do you have company standards on Modern slavery for your employees and contractors? \*If no please skip to Q7\* | Yes  No |
| 6ii) | Do you have internal accountability standards and procedures in place to hold your employees and contractors accountable for non-compliance with your policy on slavery? | Both  Employees only  Contractors only  Neither |
| 6iii) | Are there set consequences for non-compliance of employees / contractors of said standards? | Yes  No |
| 6iv) | Do you have a process to follow in the event of non-compliance by your employees / contractors to rectify the situation? | Yes  No |
| 7) | Have your managers, particularly those in charge of supply chain management, been trained to understand what modern slavery is and how to mitigate the risk of it occurring in their respective supply chains? | Yes  No |
| 8) | Please provide any comments. |  |